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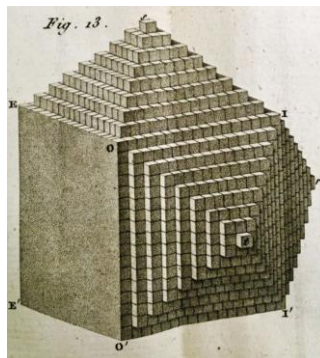
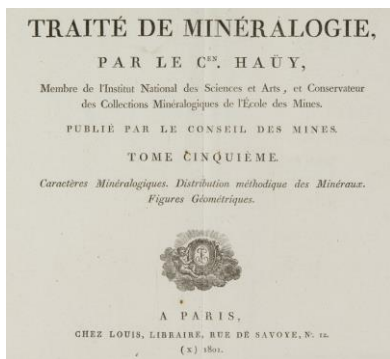
# Introduction to X-Ray Powder Diffraction

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## Aim of these lessons:

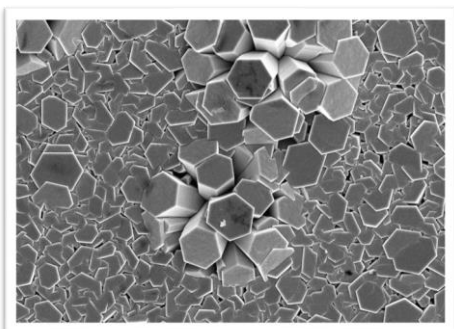
- introduce some useful concepts for better understand the next lesson/tutorial on Rietveld refinement with Fullprof
- help to extract some information from powder diffraction data
- help setup a powder diffraction experiment by yourself

**CRYSTAL** a solid structure consisting of atoms, molecules or ions having a geometrically regular arrangement, which is repeated indefinitely in the three spatial dimensions, called the crystal lattice



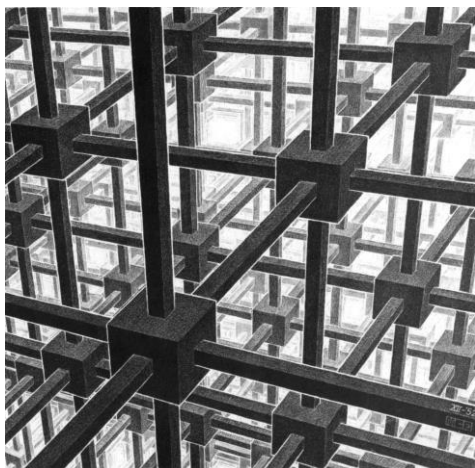
René Just Haüy  
(1743-1822)

Large crystals



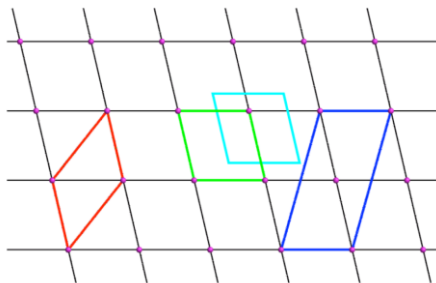
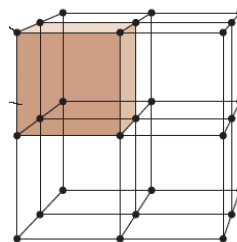
Small crystals

## THE CRYSTAL LATTICE



M.C. Escher  
(1898-1972)

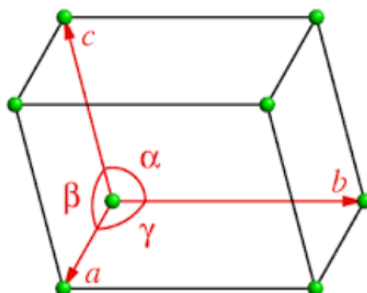
## THE CRYSTAL LATTICE AND THE UNIT CELL



- Many options to choose the unit cell
- Rules:
  - minimum number of lattice points (not the blue one)
  - Origin on one lattice point
  - Angles as close to 90 deg as possible

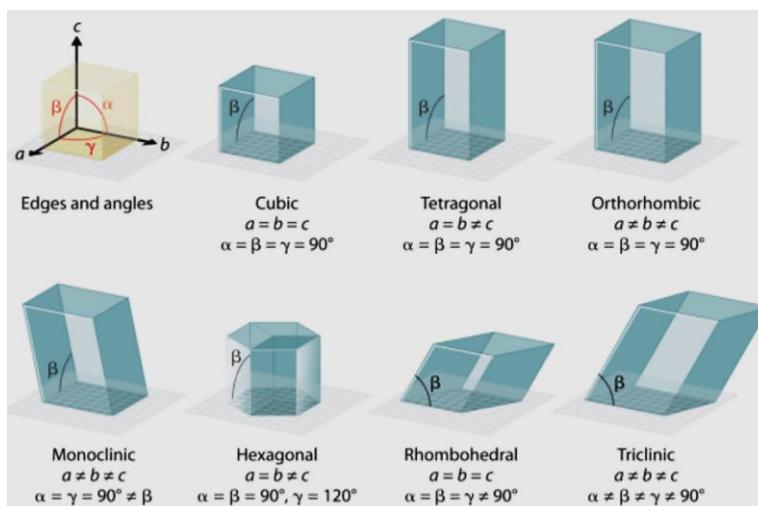
## THE UNIT CELL

A unit cell is represented by a parallelepiped, characterized by three non coplanar vectors ( $\mathbf{a}$ ,  $\mathbf{b}$ ,  $\mathbf{c}$ ) and three angles ( $\alpha$ ,  $\beta$ ,  $\gamma$ )



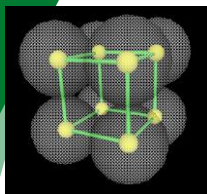
Depending on the relation among vectors and angles, there are **seven types of unit cells**, forming the **seven crystal systems**

## THE SEVEN CRYSTAL SYSTEMS

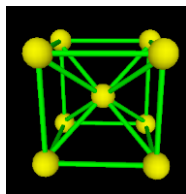


In some crystalline systems the lattice nodes are not found only in the vertices of the unit cell (primitive, P), but there are also others:

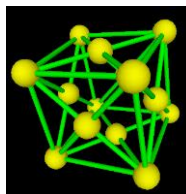
- in the center (body centered, I)
- in the center of all faces (face centered, F)
- in two opposite faces (base centered C).



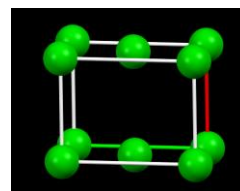
primitive



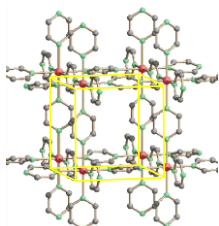
body centered



all face-centered



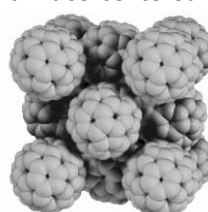
base centered



Metal organic framework

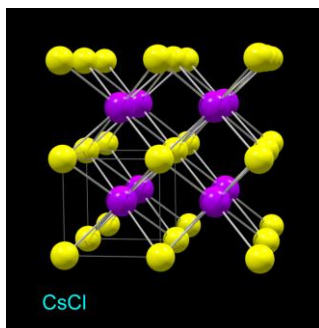


Iron (the Atomium, Bruxelles)

Buckminsterfullerene - C<sub>60</sub>

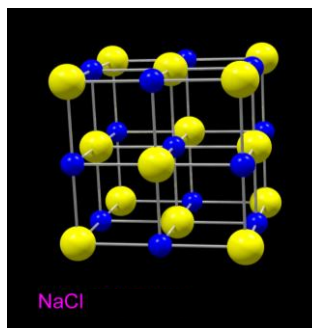
Barium

### Other examples



CsCl

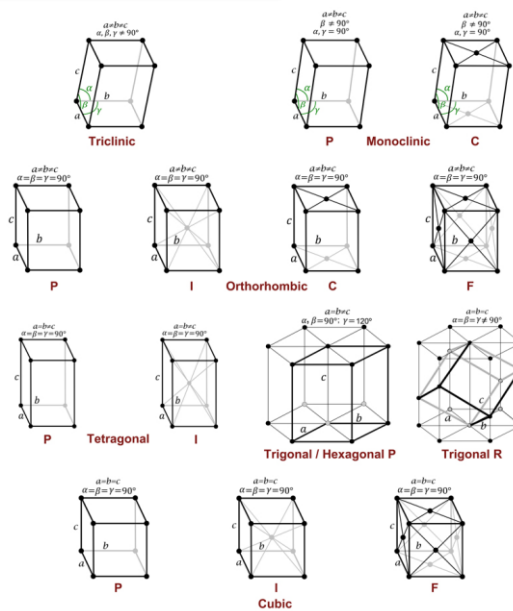
Two interpenetrated P lattices



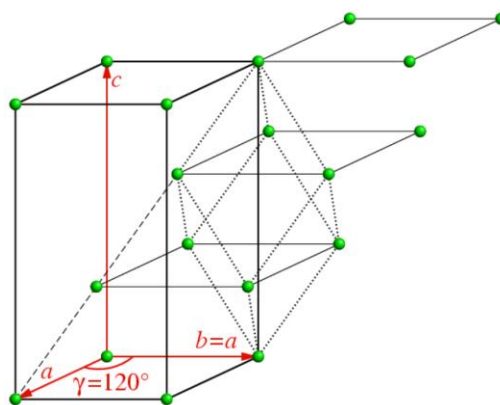
NaCl

Two interpenetrated F lattices

## THE 14 BRAVAIS LATTICES

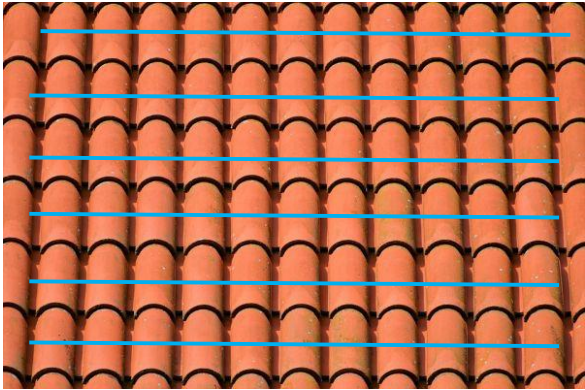


## TRIGONAL AND ROMBOHEDRAL UNIT CELL

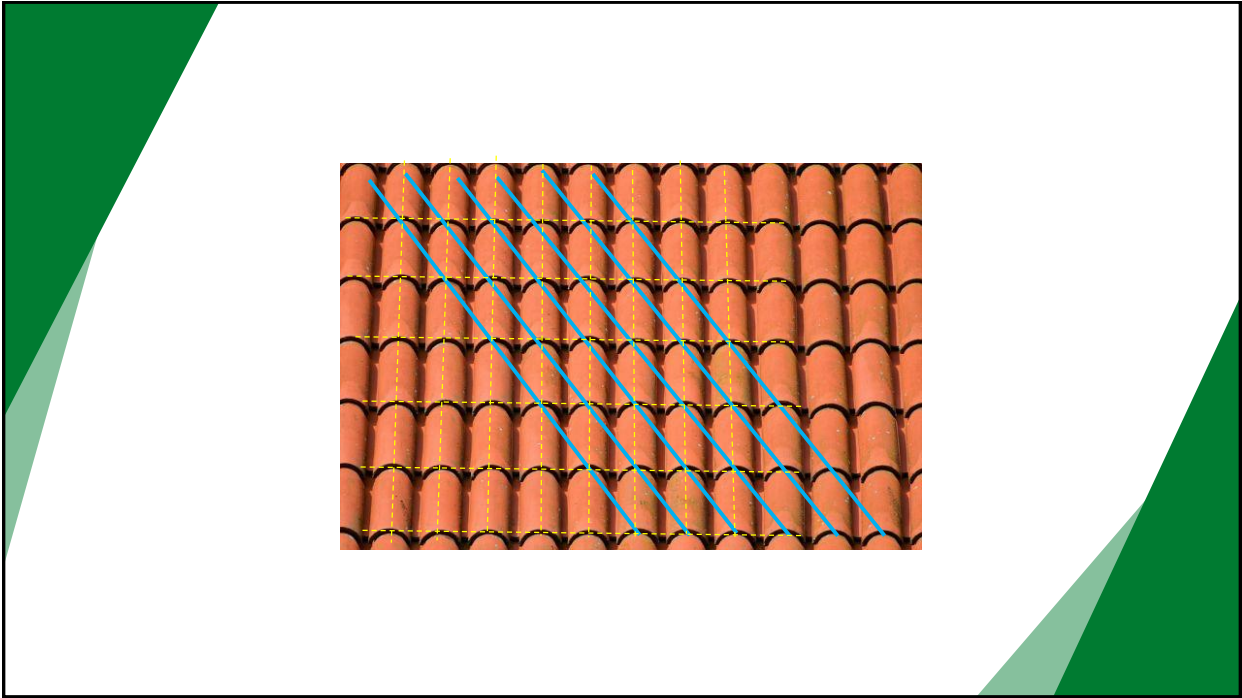
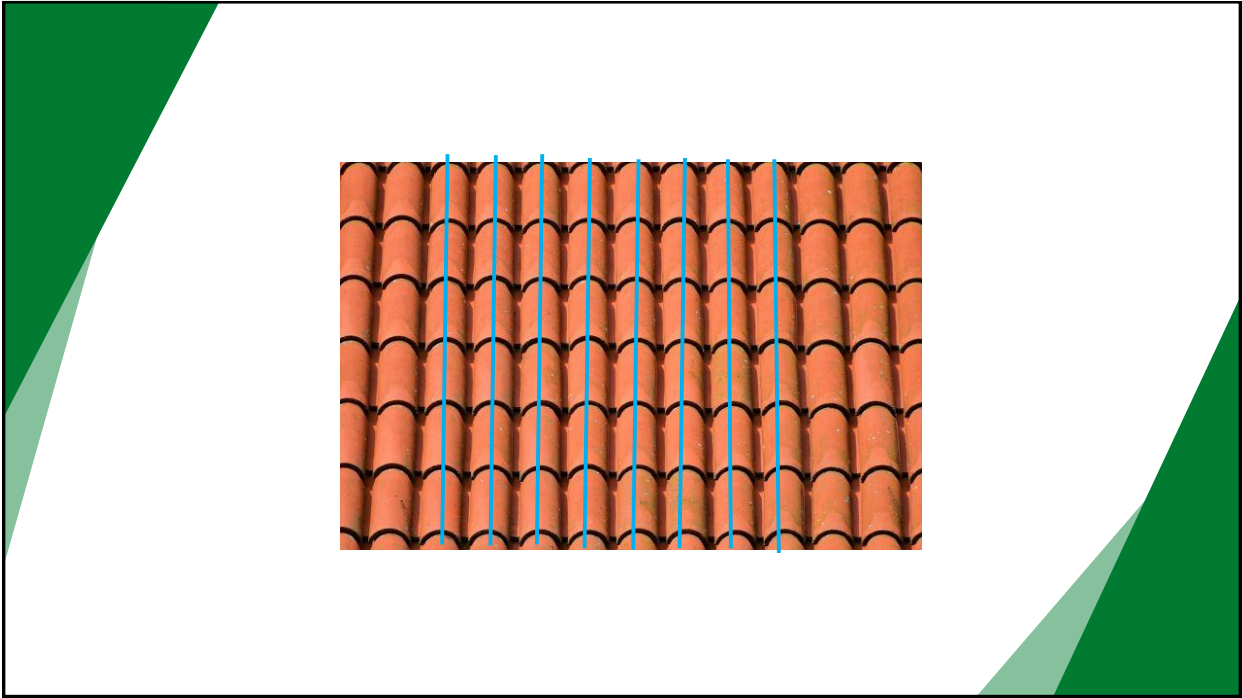


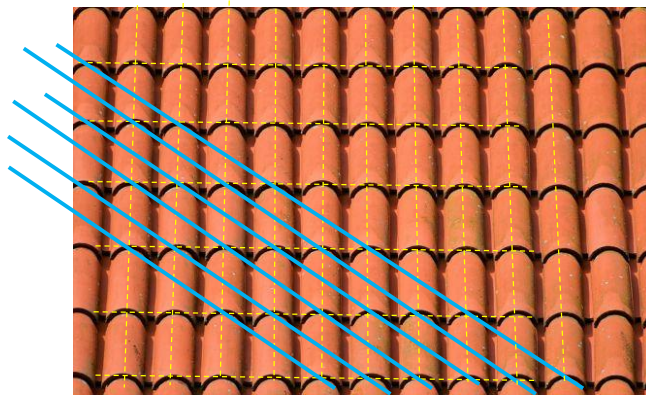
The translational periodicity of the crystals generates families of lattice planes



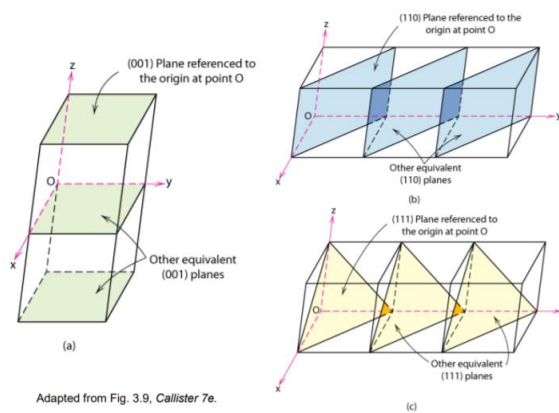








The translational periodicity of the crystals generates families of lattice planes



How can we mark them?

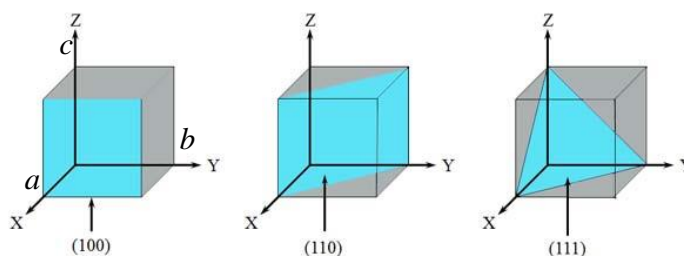
## The Miller Indices

Given the three unit vectors of the crystal lattice,  $a$ ,  $b$ , and  $c$ , and given  $x$ ,  $y$ , and  $z$ , the intercepts of a plane on them, this plane is indicated by three integers,  $h$ ,  $k$ , and  $l$  such that

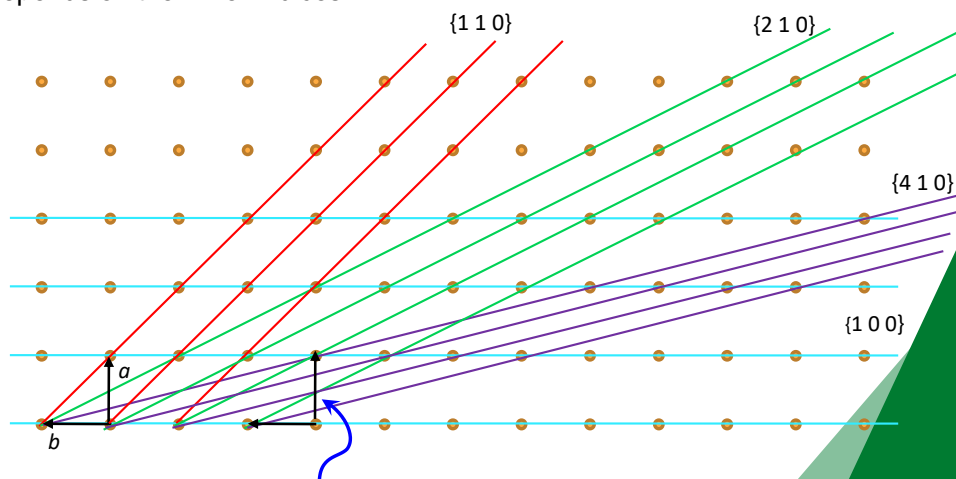
$$h = \frac{1}{\frac{x}{a}} \quad k = \frac{1}{\frac{y}{b}} \quad l = \frac{1}{\frac{z}{c}}$$



William Hallows Miller  
(1801-1880)

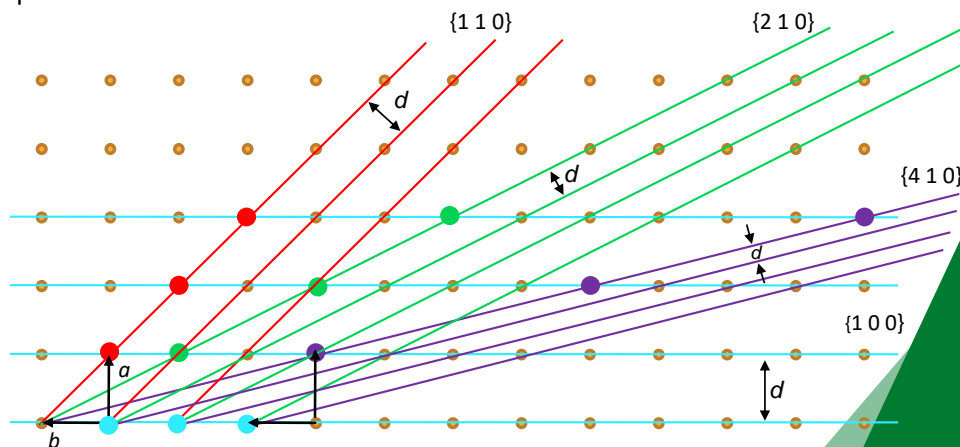


Given a plane  $(h\ k\ l)$  the translational symmetry of the crystal lattice generates a family of planes (indicated with curly brackets  $\{hkl\}$ ), all having the same Miller indices  $h$ ,  $k$ ,  $l$  of the generating plane, equally spaced by a distance  $d$  which depends on the Miller indices.



The  $\{hkl\}$  family of planes intersects  $h$  times the  $a$  axis,  $k$  times the  $b$  etc.

Given a plane (h k l) the translational symmetry of the crystal lattice generates a family of planes (indicated with curly brackets {hkl}), all having the same Miller indices h, k, l of the generating plane, equally spaced by a distance  $d$  which depends on the Miller indices.



Note that when the Miller indices increase, the  $d$  spacing decreases, and the planes have a lower density of lattice nodes

### Interplanar spacing

The interplanar distances  $d$  can be calculated with simple geometric considerations; they are a function of the cell parameters and contain the values of  $h$ ,  $k$ , and  $l$  of the considered family of planes

System	$d_{hkl}$
Cubic	$\left[ \frac{1}{a^2} (h^2 + k^2 + l^2) \right]^{-1/2}$
Tetragonal	$\left[ \frac{h^2 + k^2}{a^2} + \frac{l^2}{c^2} \right]^{-1/2}$
Orthorhombic	$\left[ \frac{h^2}{a^2} + \frac{k^2}{b^2} + \frac{l^2}{c^2} \right]^{-1/2}$
Hexagonal	$\left[ \frac{4}{3a^2} (h^2 + hk + k^2) + \frac{l^2}{c^2} \right]^{-1/2}$
Monoclinic	$\left[ \frac{1}{a^2} \frac{(h^2 + k^2 + l^2) \sin^2 \alpha + 2(hk + kl + lh)(\cos^2 \alpha - \cos \alpha)}{1 - 2 \cos^3 \alpha + 3 \cos^2 \alpha} + \frac{k^2}{b^2} \right]^{-1/2}$
Triclinic	$\left[ \frac{h^2}{a^2} \sin^2 \alpha + \frac{k^2}{b^2} \sin^2 \beta + \frac{l^2}{c^2} \sin^2 \gamma + \frac{2hk}{ab} (\cos \alpha \cos \beta - \cos \gamma) + \frac{2kl}{bc} (\cos \beta \cos \gamma - \cos \alpha) + \frac{2lh}{ca} (\cos \gamma \cos \alpha - \cos \beta) \right]^{-1/2}$

## Interplanar spacing

or with another formalism:

$$Q = (1/d_{hkl})^2 = h^2 a^{*2} + k^2 b^{*2} + l^2 c^{*2} + 2kl b^* c^* \cos \alpha^* + 2hl a^* c^* \cos \beta^* + 2hka^* b^* \cos \gamma^*$$

where:

$$a^* = \frac{b \times c}{V}; \quad \cos \alpha^* = \frac{\cos \beta \cdot \cos \gamma - \cos \alpha}{\sin \beta \cdot \sin \gamma}$$

$$b^* = \frac{c \times a}{V}; \quad \cos \beta^* = \frac{\cos \alpha \cdot \cos \gamma - \cos \beta}{\sin \alpha \cdot \sin \gamma}$$

$$c^* = \frac{a \times b}{V}; \quad \cos \gamma^* = \frac{\cos \alpha \cdot \cos \beta - \cos \gamma}{\sin \alpha \cdot \sin \beta}$$

### REDUCED FORMULAS

#### Cubic:

$$1/d^2 = (h^2 + k^2 + l^2)/a^2$$

#### Tetragonal:

$$1/d^2 = \{(h^2 + k^2)/a^2\} + (l^2/c^2)$$

#### Orthorhombic:

$$1/d^2 = (h^2/a^2) + (k^2/b^2) + (l^2/c^2)$$

#### Hexagonal:

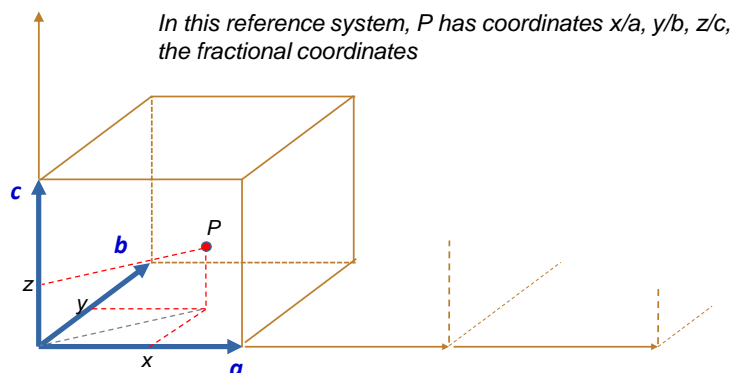
$$1/d^2 = (4/3) \{(h^2 + hk + k^2)/a^2\} + (l^2/c^2)$$

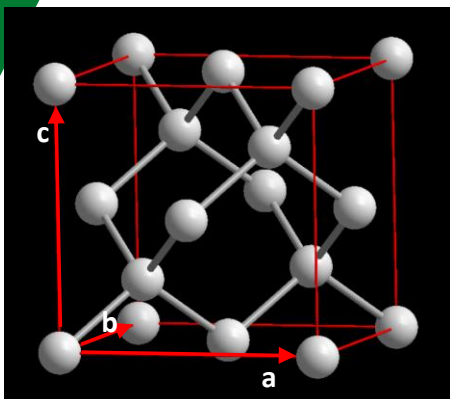
#### Monoclinic:

$$1/d^2 = (1/\sin^2 \beta) \{(h^2/a^2) + (k^2 \sin^2 \beta/b^2) + (l^2/c^2) - (2hlc \cos \beta/ac)\}$$

## The crystallographic, or fractional, coordinates

a, b, and c vectors defining the unit cell, identify the unit vectors of a reference system, not necessarily orthogonal, which is used to indicate the position of a point in the cell





Unit cell of diamond and fractional coordinates of the atoms contained in it, compared with their cartesian coordinates.

Diamond, face centered cubic structure  
 $a = b = c = 3.559 \text{ \AA}$

No	name	crystal coordinates			cartesian coordinates		
		x	y	z	x	y	z
1*	C	0.0000	0.0000	0.0000	-1.7798	-1.7798	-1.7797
2	C	0.0000	0.5000	0.5000	-1.7797	0.0000	0.0000
3	C	0.5000	0.5000	0.0000	0.0000	0.0000	-1.7797
4	C	0.5000	0.0000	0.5000	0.0000	-1.7797	0.0000
5	C	0.7500	0.2500	0.7500	0.8899	-0.8899	0.8899
6	C	0.2500	0.2500	0.2500	-0.8899	-0.8899	-0.8899
7	C	0.2500	0.7500	0.7500	-0.8899	0.8899	0.8899
8	C	0.7500	0.7500	0.2500	0.8899	0.8899	-0.8899

## Site Occupancy Factor

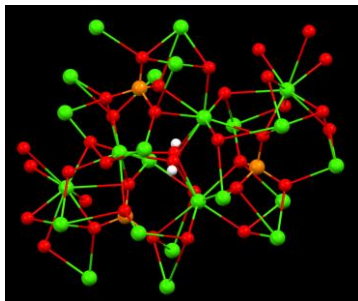
Sometimes a crystallographic position within a unit cell is not fully occupied by an atom, i.e. that atom is not present in that position in all unit cells of the crystal.

**Its site occupancy factor (s.o.f.) will be less than 1.**

This can happen for various reasons:

- **static or dynamic disorder:** some atoms are displaced in several positions. On average, each of these positions is occupied by a fraction of the atom

Ex. Hydroxylapatite → model structure → CIF file



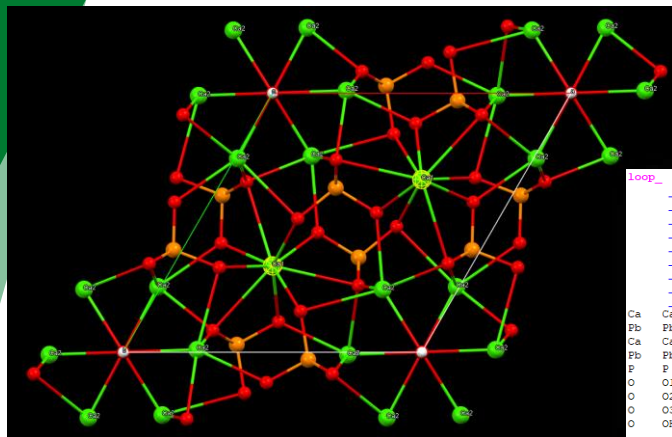
# ATOMIC COORDINATES AND DISPLACEMENT PARAMETERS

```
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  _atom_site_label
  _atom_site_fract_x
  _atom_site_fract_y
  _atom_site_fract_z
  _atom_site_occupancy
  _atom_site_thermal_displace_type
  _atom_site_U_iso_or_equiv
  _atom_site_symmetry_multiplicity
Ca Ca1 0.66667 0.33333 -0.00166(23) 1.0 Uiso 0.00790(32) 4
Ca Ca2 0.24735(15) 0.89255(18) 0.25 1.0 Uiso 0.00581(21) 6
P P 0.39864(17) 0.36952(15) 0.25 1.0 Uiso 0.00385(22) 6
O O1 0.32595(33) 0.48671(34) 0.25 1.0 Uiso 0.00385(22) 6
O O2 0.5809(4) 0.4537(4) 0.25 1.0 Uiso 0.00385(22) 6
O O3 0.34046(25) 0.25886(30) 0.06906(25) 1.0 Uiso 0.00385(22) 12
O OH 0.0 0.0 0.1895(8) 0.5 Uiso 0.00385(22) 4
H H 0.0 0.0 0.0658(9) 0.5 Uiso 0.01185(22) 4
```

## Site Occupancy Factor

- **substitutional disorder:** elements with similar binding properties can occupy equivalent sites. Isomorphous substitution - solutions

Ex. Pb-Hydroxylapatite, Zn-Cd-S-Se systems, Mg-Calcite, Clays



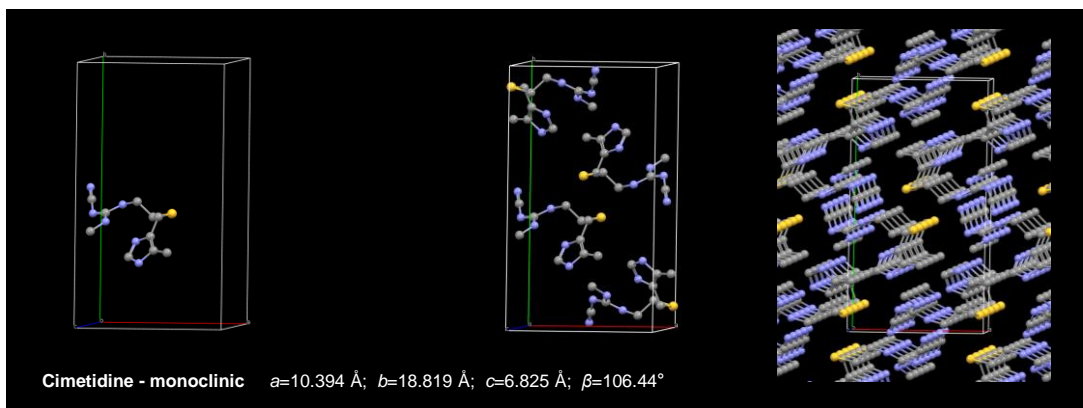
estimated standard deviation

```
loop_
  _atom_site.type_symbol
  _atom_site.label
  _atom_site.fract_x
  _atom_site.fract_y
  _atom_site.fract_z
  _atom_site.occupancy
  _atom_site.thermal_displace_type
  _atom_site.U_iso_or_equiv
  _atom_site.symmetry_multiplicity
Ca Ca1 0.66667 0.33333 0.0064 (11) 0.8572 (26) Uiso 0.0111 (12) 4
Pb Pb1 0.66667 0.33333 0.0064 (11) 0.1428 (26) Uiso 0.0111 (12) 4
Ca Ca2 0.24083 (15) 0.99317 (26) 0.25 0.209 (5) Uiso 0.01762 (28) 6
Pb Pb2 0.24083 (15) 0.99317 (26) 0.25 0.791 (5) Uiso 0.01762 (28) 6
F F 0.4076 (9) 0.3878 (6) 0.25 1.0 Uiso 0.0080 (16) 6
O O1 0.3736 (16) 0.5295 (11) 0.25 1.0 Uiso 0.0393 (24) 6
O O2 0.5921 (11) 0.4622 (15) 0.25 1.0 Uiso 0.0393 (24) 6
O O3 0.3576 (7) 0.2580 (8) 0.0923 (10) 1.0 Uiso 0.0393 (24) 12
O OH 0.0 0.0 0.1041 (26) 0.5 Uiso 0.0393 (24) 4
```

S.O.F.(Ca1) + S.O.F.(Pb1) = 1  
S.O.F.(Ca2) + S.O.F.(Pb2) = 1

## The content of a crystal

Asymmetric Unit  $\xrightarrow[\text{of the space group}]{\text{symmetry operations}}$  Unit Cell Content  $\xrightarrow[\text{along } a, b, \text{ and } c]{\text{simple translation}}$  Crystal

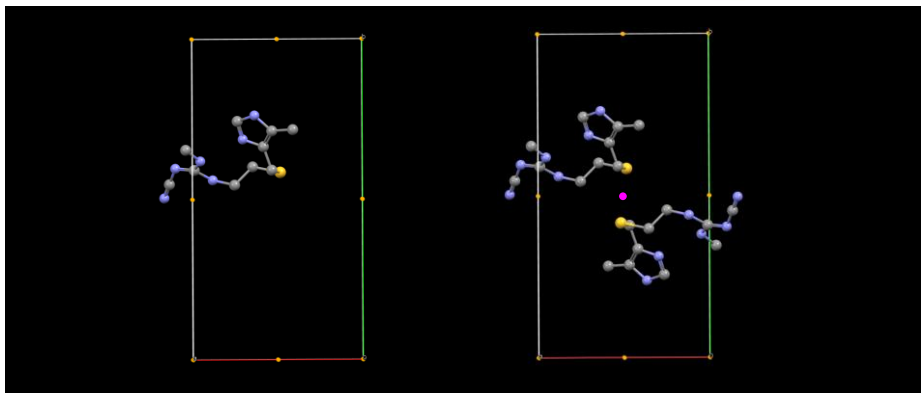
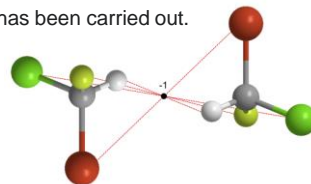


## Symmetry elements

**Symmetry operation**

a transformation that leaves an object looking the same after it has been carried out.

**Inversion**  $P(x,y,z) \rightarrow P'(-x,-y,-z)$   
 $i \quad -1$



## SYMMETRY ELEMENTS

## Rotation Axes

## Mirror Planes

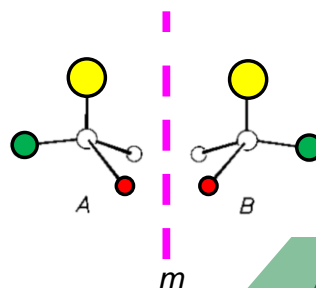
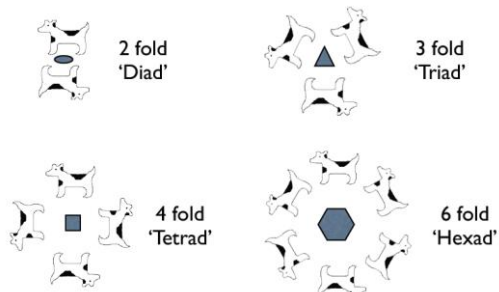
$X$  = rotation order,  
 in which the rotation angle is  $360/X^\circ$

Es.  $X=2$   $P(x, y, z) \rightarrow P'(-x, y, -z)$

In crystals the rotation order  
 can be only 1, 2, 3, 4, or 6

 $m$ 

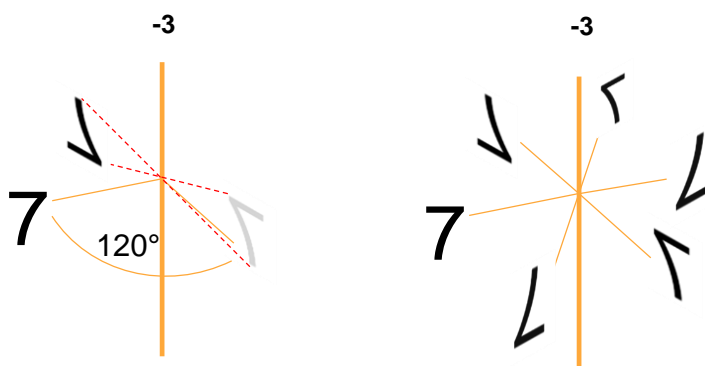
Es.  $m$   $P(x, y, z) \rightarrow P'(x, -y, z)$





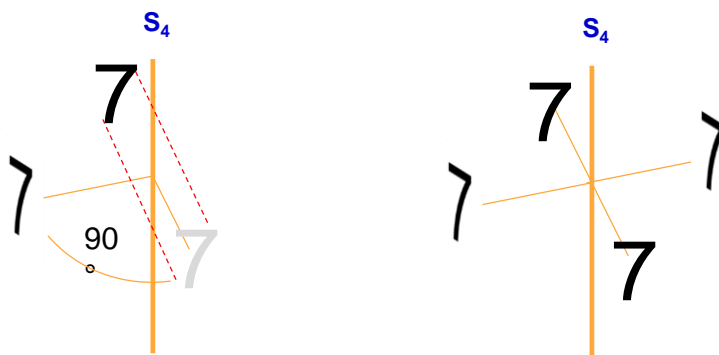
## Combined Symmetry Operations

Rotoinversion axes  $-X$



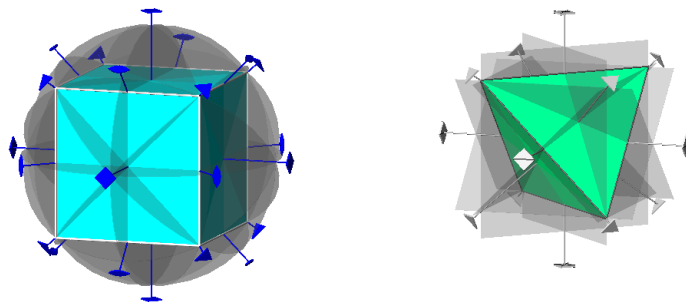
## Combined Symmetry Operations

Rotoreflexion axes  $S_X$



## Combining symmetry operations

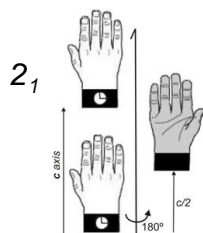
In real crystals these symmetry operations can only be combined in 32 possible ways, defining 32 crystallographic point groups



Of the 32 crystal classes, only 11 contain the operator *center of symmetry*, and these 11 centro-symmetric crystal classes are known as **Laue groups**.

## Symmetry Elements and Translation

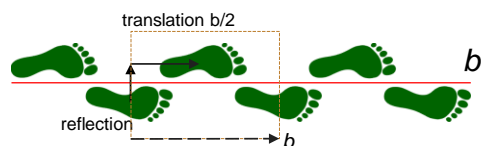
### Screw Axes



The combination of a rotation by  $360^\circ/n$  about an axis and a translation along the axis by a multiple of the distance of the translational symmetry, divided by  $n$

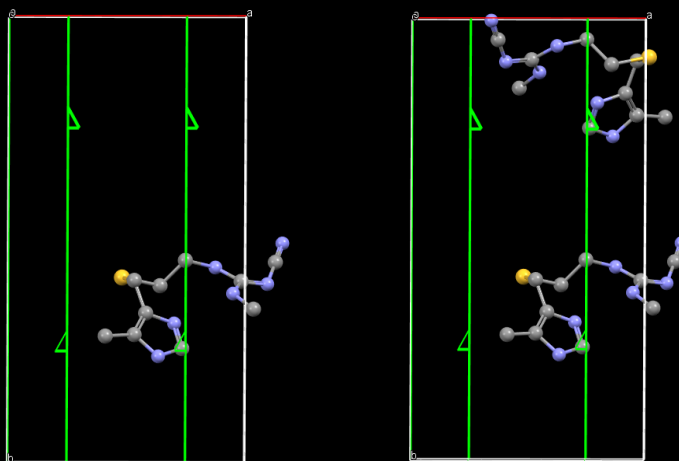
$2_1, 3_1, 3_2, 4_1, 4_2, 4_3, 6_1, 6_2, 6_3, 6_4, 6_5$

### Glide planes

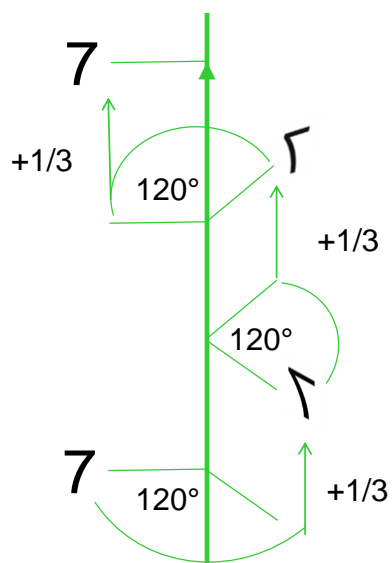


The combination of a reflection in a plane followed by a parallel with that plane

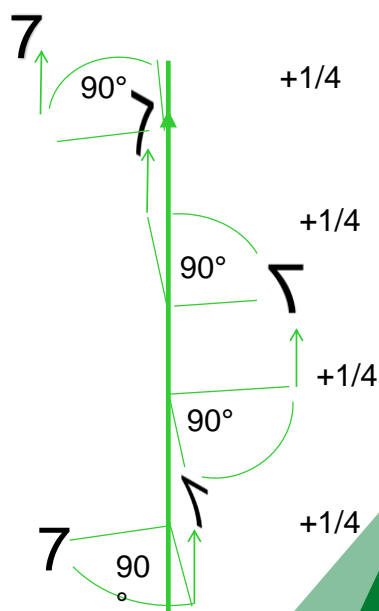
## Symmetry Elements and Translation Screw Axis $2_1$



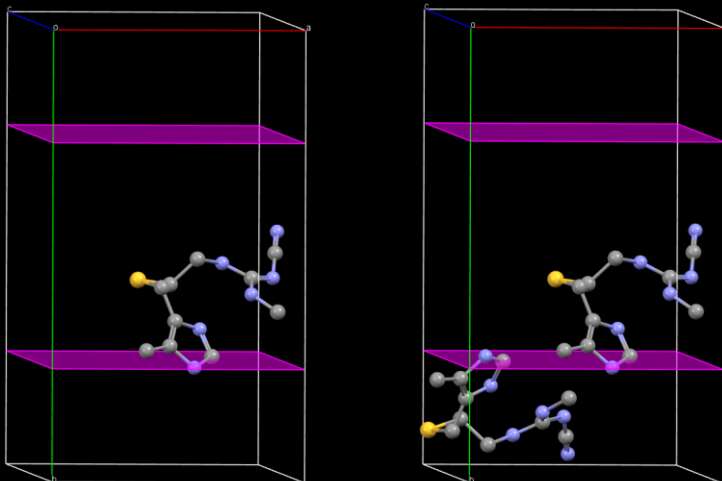
### Screw Axis $3_1$



### Screw Axis $4_1$



## Glide Plane a



## Combination of Symmetry Elements with Translation

Finally, combining the **32 crystal classes** (crystallographic point groups) with the **14 Bravais lattices**, we find up to 230 different ways to replicate a finite object (motif) in 3-dimensional space. These **230 ways to repeat patterns in space**, which are compatible with the 32 crystal classes and with the 14 Bravais lattices, **are called space groups**, and represent the 230 different ways to fit the Bravais lattices to the symmetry of the objects.



<https://crystalsymmetry.wordpress.com/2014/08/15/the-space-group-list-project-as-a-poster/>

## Site Symmetry and Special Positions

The action of symmetry elements of a space group on each point of the unit cell will reproduce it  $n$  times. This  $n$  value is called "site multiplicity"

The points placed over one or more point symmetry elements will be unaffected by those operations. Therefore a special position has a reduced site multiplicity

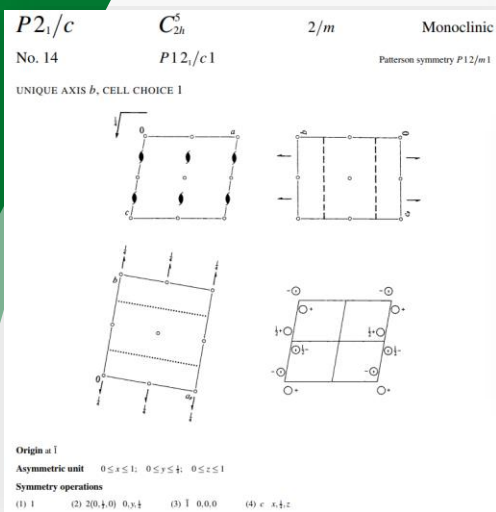
→ Escher web sketch

A space group can include different special positions, called Wyckoff positions

$$\text{Unit cell content} = \sum_i (s. o. f.)_i \times (\text{site mult.})_i$$

## The characters of the 230 space groups are resumed in the International Tables for Crystallography (IUCr)

One example



number of atoms (multiplicity) and their positions generated by the space group symmetry when an atom occupies a general position...

Positions	Multiplicity, Wyckoff letter, Site symmetry	Coordinates	Reflection conditions
General:	4 e 1	(1) $x, y, z$ (2) $\bar{x}, y + \frac{1}{2}, \bar{z} + \frac{1}{2}$ (3) $\bar{x}, y, \bar{z}$ (4) $x, \bar{y} + \frac{1}{2}, z + \frac{1}{2}$	General: $h0l : l = 2n$ $0k0 : k = 2n$ $00l : l = 2n$
Special: as above, plus	2 d $\bar{1}$	$\frac{1}{2}, 0, \frac{1}{2}$ $\frac{1}{2}, \frac{1}{2}, 0$	$hkl : k + l = 2n$
	2 c $\bar{1}$	$0, 0, \frac{1}{2}$ $0, \frac{1}{2}, 0$	$hkl : k + l = 2n$
	2 b $\bar{1}$	$\frac{1}{2}, 0, 0$ $\frac{1}{2}, \frac{1}{2}, \frac{1}{2}$	$hkl : k + l = 2n$
	2 a $\bar{1}$	$0, 0, 0$ $0, \frac{1}{2}, \frac{1}{2}$	$hkl : k + l = 2n$

...and a special position (that is it is placed over a point symmetry element)

**$Fm\bar{3}c$**   $O_h^c$   $m\bar{3}m$  Cubic

No. 226  $F 4/m \bar{3} 2/c$  Pattern symmetry  $Fm\bar{3}m$

Upper left quadrant only

special positions  
reduced multiplicity

**general position: multiplicity = 192**

**Positions**  
Multiplicity: 192  
Wyckoff letter: 192  
Site symmetry:  $(0,0,0)+$

**Coordinates**  
 $(0,0,0)+$   $(0, \frac{1}{2}, \frac{1}{2})+$   $(\frac{1}{2}, 0, \frac{1}{2})+$   $(\frac{1}{2}, \frac{1}{2}, 0)+$

1	(1) $x, y, z$	(2) $\bar{x}, \bar{y}, \bar{z}$	(3) $\bar{x}, y, \bar{z}$	(4) $x, \bar{y}, \bar{z}$
(5)	$z, x, y$	(6) $z, \bar{x}, \bar{y}$	(7) $\bar{z}, \bar{x}, y$	(8) $\bar{z}, x, \bar{y}$
(9)	$y, z, x$	(10) $y, \bar{z}, \bar{x}$	(11) $\bar{y}, \bar{z}, x$	(12) $\bar{y}, z, x$
(13)	$y + \frac{1}{2}, x + \frac{1}{2}, z + \frac{1}{2}$	(14) $\bar{y} + \frac{1}{2}, \bar{x} + \frac{1}{2}, \bar{z} + \frac{1}{2}$	(15) $y + \frac{1}{2}, \bar{x} + \frac{1}{2}, z + \frac{1}{2}$	(16) $\bar{y} + \frac{1}{2}, x + \frac{1}{2}, \bar{z} + \frac{1}{2}$
(17)	$x + \frac{1}{2}, z + \frac{1}{2}, y + \frac{1}{2}$	(18) $\bar{x} + \frac{1}{2}, \bar{z} + \frac{1}{2}, \bar{y} + \frac{1}{2}$	(19) $\bar{x} + \frac{1}{2}, z + \frac{1}{2}, y + \frac{1}{2}$	(20) $x + \frac{1}{2}, \bar{z} + \frac{1}{2}, \bar{y} + \frac{1}{2}$
(21)	$z + \frac{1}{2}, y + \frac{1}{2}, x + \frac{1}{2}$	(22) $\bar{z} + \frac{1}{2}, \bar{y} + \frac{1}{2}, \bar{x} + \frac{1}{2}$	(23) $\bar{z} + \frac{1}{2}, y + \frac{1}{2}, x + \frac{1}{2}$	(24) $z + \frac{1}{2}, \bar{y} + \frac{1}{2}, \bar{x} + \frac{1}{2}$
(25)	$\bar{x}, \bar{y}, \bar{z}$	(26) $x, y, z$	(27) $x, \bar{y}, \bar{z}$	(28) $\bar{x}, y, z$
(29)	$\bar{x}, \bar{y}, \bar{z}$	(30) $x, y, z$	(31) $z, x, y$	(32) $\bar{z}, x, y$
(33)	$\bar{y}, \bar{z}, x$	(34) $y, z, x$	(35) $\bar{y}, \bar{z}, x$	(36) $y, z, x$
(37)	$\bar{y} + \frac{1}{2}, \bar{x} + \frac{1}{2}, \bar{z} + \frac{1}{2}$	(38) $y + \frac{1}{2}, x + \frac{1}{2}, z + \frac{1}{2}$	(39) $\bar{y} + \frac{1}{2}, x + \frac{1}{2}, \bar{z} + \frac{1}{2}$	(40) $y + \frac{1}{2}, \bar{x} + \frac{1}{2}, \bar{z} + \frac{1}{2}$
(41)	$\bar{z} + \frac{1}{2}, \bar{y} + \frac{1}{2}, \bar{x} + \frac{1}{2}$	(42) $z + \frac{1}{2}, y + \frac{1}{2}, x + \frac{1}{2}$	(43) $\bar{z} + \frac{1}{2}, y + \frac{1}{2}, \bar{x} + \frac{1}{2}$	(44) $z + \frac{1}{2}, \bar{y} + \frac{1}{2}, \bar{x} + \frac{1}{2}$
(45)	$\bar{z} + \frac{1}{2}, \bar{y} + \frac{1}{2}, \bar{x} + \frac{1}{2}$	(46) $z + \frac{1}{2}, y + \frac{1}{2}, x + \frac{1}{2}$	(47) $\bar{z} + \frac{1}{2}, \bar{y} + \frac{1}{2}, \bar{x} + \frac{1}{2}$	(48) $z + \frac{1}{2}, y + \frac{1}{2}, x + \frac{1}{2}$

90	$f m \dots$	$0, y, z$	$0, \bar{y}, \bar{z}$	$0, y, \bar{z}$	$0, \bar{y}, z$	$m$
		$z, 0, y$	$z, 0, \bar{y}$	$z, 0, y$	$z, 0, \bar{y}$	
		$y, z, 0$	$\bar{y}, z, 0$	$y, z, 0$	$\bar{y}, z, 0$	
		$y + \frac{1}{2}, \bar{z} + \frac{1}{2}$	$\bar{y} + \frac{1}{2}, z + \frac{1}{2}$	$y + \frac{1}{2}, \bar{z} + \frac{1}{2}$	$\bar{y} + \frac{1}{2}, z + \frac{1}{2}$	
		$\bar{z} + \frac{1}{2}, y + \frac{1}{2}$	$z + \frac{1}{2}, \bar{y} + \frac{1}{2}$	$\bar{z} + \frac{1}{2}, y + \frac{1}{2}$	$z + \frac{1}{2}, \bar{y} + \frac{1}{2}$	
96	$h \dots 2$	$\frac{1}{2}, y, y$	$\frac{1}{2}, \bar{y}, \bar{y}$	$\frac{1}{2}, y, \bar{y}$	$\frac{1}{2}, \bar{y}, y$	$h$
		$\frac{1}{2}, \bar{y}, y$	$\frac{1}{2}, y, \bar{y}$	$\frac{1}{2}, \bar{y}, \bar{y}$	$\frac{1}{2}, y, y$	
		$\frac{1}{2}, y, \bar{y}$	$\frac{1}{2}, \bar{y}, y$	$\frac{1}{2}, y, y$	$\frac{1}{2}, \bar{y}, \bar{y}$	
		$\frac{1}{2}, \bar{y}, \bar{y}$	$\frac{1}{2}, y, y$	$\frac{1}{2}, \bar{y}, y$	$\frac{1}{2}, y, \bar{y}$	
64	$g \dots 3$	$x, x, x$	$\bar{x}, \bar{x}, \bar{x}$	$x, \bar{x}, \bar{x}$	$\bar{x}, x, x$	$h$
		$x + \frac{1}{2}, \bar{x} + \frac{1}{2}, \bar{x} + \frac{1}{2}$	$\bar{x} + \frac{1}{2}, x + \frac{1}{2}, x + \frac{1}{2}$	$x + \frac{1}{2}, \bar{x} + \frac{1}{2}, \bar{x} + \frac{1}{2}$	$\bar{x} + \frac{1}{2}, x + \frac{1}{2}, x + \frac{1}{2}$	
		$x, \bar{x}, x$	$\bar{x}, x, \bar{x}$	$x, \bar{x}, x$	$\bar{x}, x, \bar{x}$	
		$\bar{x} + \frac{1}{2}, \bar{x} + \frac{1}{2}, \bar{x} + \frac{1}{2}$	$x + \frac{1}{2}, x + \frac{1}{2}, x + \frac{1}{2}$	$\bar{x} + \frac{1}{2}, \bar{x} + \frac{1}{2}, \bar{x} + \frac{1}{2}$	$x + \frac{1}{2}, x + \frac{1}{2}, x + \frac{1}{2}$	
48	$f 4 \dots$	$x, \frac{1}{2}, \frac{1}{2}$	$\bar{x}, \frac{1}{2}, \frac{1}{2}$	$\frac{1}{2}, x, \frac{1}{2}$	$\frac{1}{2}, \bar{x}, \frac{1}{2}$	$h$
		$\bar{x}, \frac{1}{2}, \frac{1}{2}$	$x, \frac{1}{2}, \frac{1}{2}$	$\frac{1}{2}, x, \frac{1}{2}$	$\frac{1}{2}, \bar{x}, \frac{1}{2}$	
48	$e m m 2 \dots$	$x, 0, 0$	$\bar{x}, 0, 0$	$0, x, 0$	$0, \bar{x}, 0$	$h$
		$0, x, \frac{1}{2}$	$0, \bar{x}, \frac{1}{2}$	$\frac{1}{2}, x, \frac{1}{2}$	$\frac{1}{2}, \bar{x}, \frac{1}{2}$	
		$x + \frac{1}{2}, \frac{1}{2}$	$\bar{x} + \frac{1}{2}, \frac{1}{2}$	$\frac{1}{2}, x + \frac{1}{2}$	$\frac{1}{2}, \bar{x} + \frac{1}{2}$	
24	$d 4/m \dots$	$0, \frac{1}{2}, \frac{1}{2}$	$0, \bar{\frac{1}{2}}, \bar{\frac{1}{2}}$	$\frac{1}{2}, 0, \frac{1}{2}$	$\frac{1}{2}, 0, \bar{\frac{1}{2}}$	$h$
24	$c 4m 2 \dots$	$\frac{1}{2}, 0, 0$	$\bar{\frac{1}{2}}, 0, 0$	$0, \frac{1}{2}, 0$	$0, 0, \frac{1}{2}$	$h$
8	$b m \bar{3} \dots$	$0, 0, 0$	$\frac{1}{2}, \frac{1}{2}, \frac{1}{2}$			$h$
8	$a 432 \dots$	$\frac{1}{2}, \frac{1}{2}, \frac{1}{2}$	$\bar{\frac{1}{2}}, \bar{\frac{1}{2}}, \bar{\frac{1}{2}}$			$h$

Unit cell content

### Pb-Hydroxylapatite

Unit cell content =  $\sum_i (s.o.f.) \times (site\ mult.)$

	atom	site	label	frac_x	frac_y	frac_z	occupancy	thermal_displace_type	U_iso_or_equiv	symmetry_multiplicity	U_iso	site_mult
Ca	Ca1	0.6667	0.3333	0.0064	(11)	0.8572	(24)	Uiso	0.0111	(12)	4	4
Pb	Pb1	0.6667	0.3333	0.0064	(11)	0.1428	(26)	Uiso	0.0111	(12)	4	4
Ca	Ca2	0.24083	(15)	0.99317	(26)	0.25	0.209	(5)	Uiso	0.01762	(28)	6
Pb	Pb2	0.24083	(15)	0.99317	(26)	0.25	0.791	(5)	Uiso	0.01762	(28)	6
P	P	0.4076	(8)	0.3878	(6)	0.25	1.0	Uiso	0.0080	(16)	6	6
O	O1	0.3736	(16)	0.5295	(11)	0.25	1.0	Uiso	0.0383	(24)	6	6
O	O2	0.5921	(11)	0.4622	(15)	0.25	1.0	Uiso	0.0383	(24)	6	6
O	O3	0.3576	(7)	0.2580	(8)	0.0923	(10)	1.0	Uiso	0.0383	(24)	12
O	OH	0.0	0.0	0.1041	(26)	0.5	Uiso	0.0383	(24)	4	4	

Graphic symbol	Num. symbol	Graphic symbol	Num. symbol
<i>None</i>	1		$\bar{1}$
	2		$2/m$
	$2_1$		$2_1/m$
	3		$\bar{3}$
	$3_1$		$\bar{4}$
	$3_2$		$4/m$
	4		$4_2/m$
	$4_1$		$\bar{6}$
	$4_2$		$6/m$
	$4_3$		$6_3/m$
	6		$m$
	$6_1$		$a, b, c$
	$6_2$		$a, b, c$
	$6_3$		$n$
	$6_4$		$1/8$
	$6_5$		$d$
			$3/8$

## The CIF file (Crystallographic Information File)

Hall, Allen & Brown (1991)

### CIF Format

The CIF contains the crystallographic information for a structure in a well-defined and standardised format.

The information for one structure/experiment is called a *data block*.

A CIF can contain multiple data blocks. A data block begins with the string ' `data_` ' to signify the start of the structural information

For example:

```
data_ZP3
```

Within a data block, each piece of information is stored in a *CIF field*. A CIF field is made up of

a *data name*, which describes a piece of information about the structure, and a *data item*, the information related to the data name.

For example:

```
_cell_volume 1513.980 (15)
_symmetry_space_group_name_H-M "R -3 c"
```

## The CIF file (Crystallographic Information File) Hall, Allen & Brown (1991)

### CIF Format

Data items can also be stored as part of a loop. This is usually used to store a table of values, such as atom coordinates. The loop begins with 'loop\_', which is then followed on subsequent lines by the data names in the table. After that, the value data is stored: each line will contain a data item for each of the data names listed.

```
loop_
  _atom_site_type_symbol
  _atom_site_label
  _atom_site_fract_x
  _atom_site_fract_y
  _atom_site_fract_z
  _atom_site_occupancy
  _atom_site_thermal_displace_type
  _atom_site_U_iso_or_equiv
  _atom_site_symmetry_multiplicity
Zr  Zr1      0.0      0.0      0.0      1.0  Uiso  0.01390 (24)  6
P   P2      0.66875 (30)  0.0      0.25     1.0  Uiso  0.0355 (6)    18
O   O3      0.5108 (6)   -0.1098 (5)  0.21303 (14)  1.0  Uiso  0.0261 (10)   36
O   O4      0.7183 (6)   -0.1255 (5)  0.28148 (7)  1.0  Uiso  0.0718 (15)   36
```

A CIF file can be read by almost any crystallographic program

enCIFer : <https://www.ccdc.cam.ac.uk/Community/csd-community/encifer/>

Mercury : <https://www.ccdc.cam.ac.uk/support-and-resources/Downloads/>

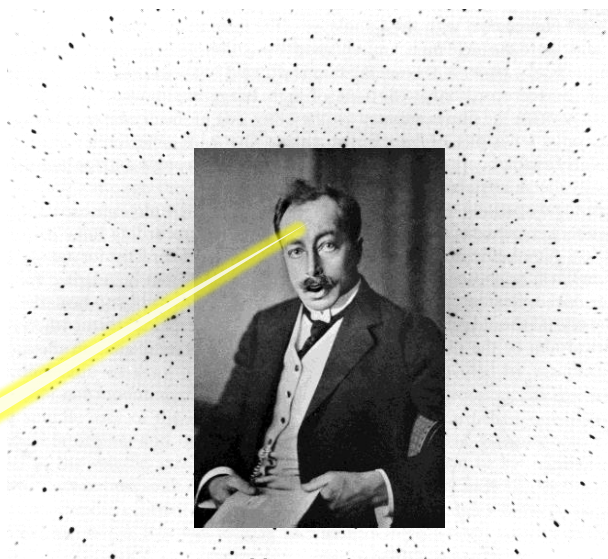
Vesta : <https://jp-minerals.org/vesta/en/>

## X-RAY DIFFRACTION

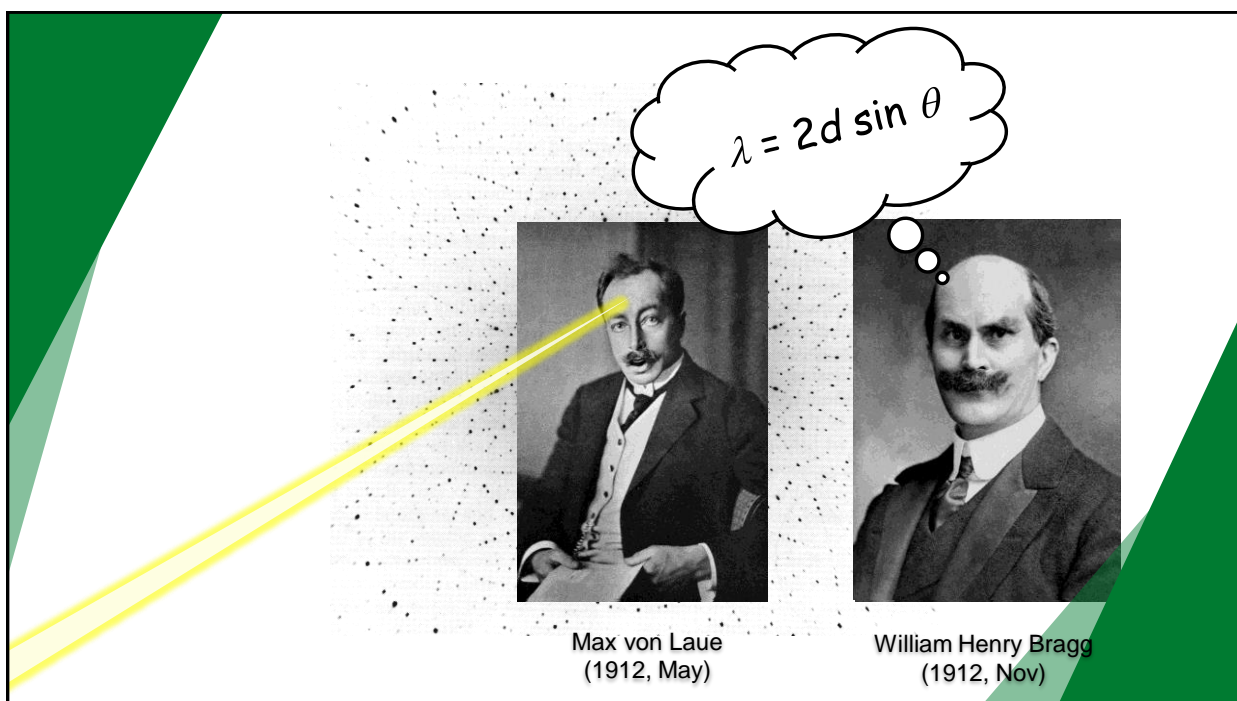
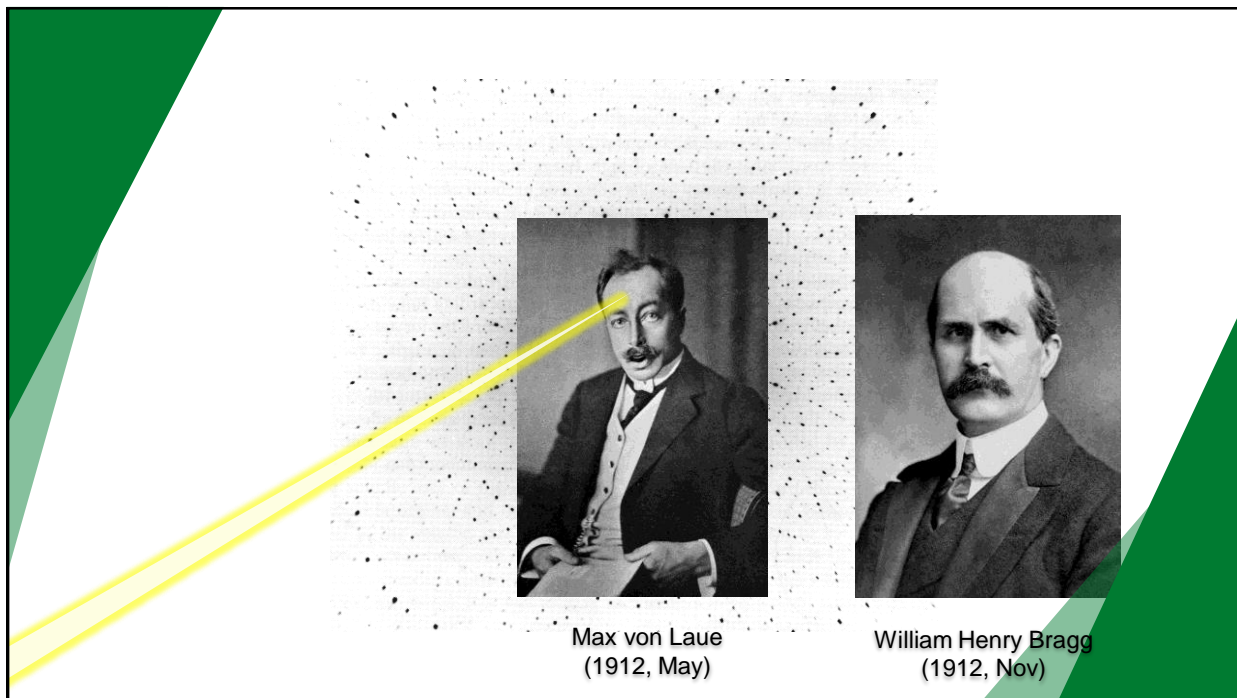




Max von Laue  
(1912, May)



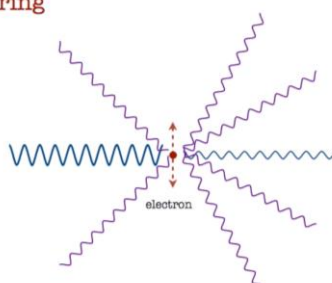
Max von Laue  
(1912, May)

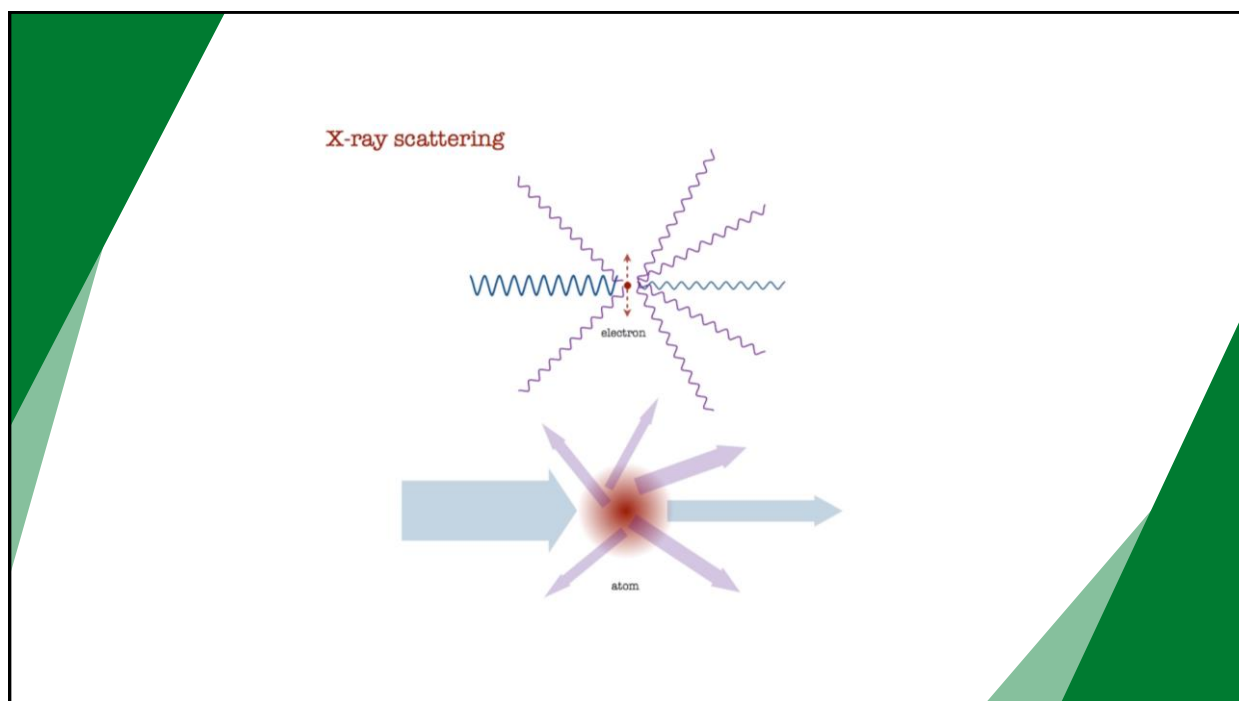
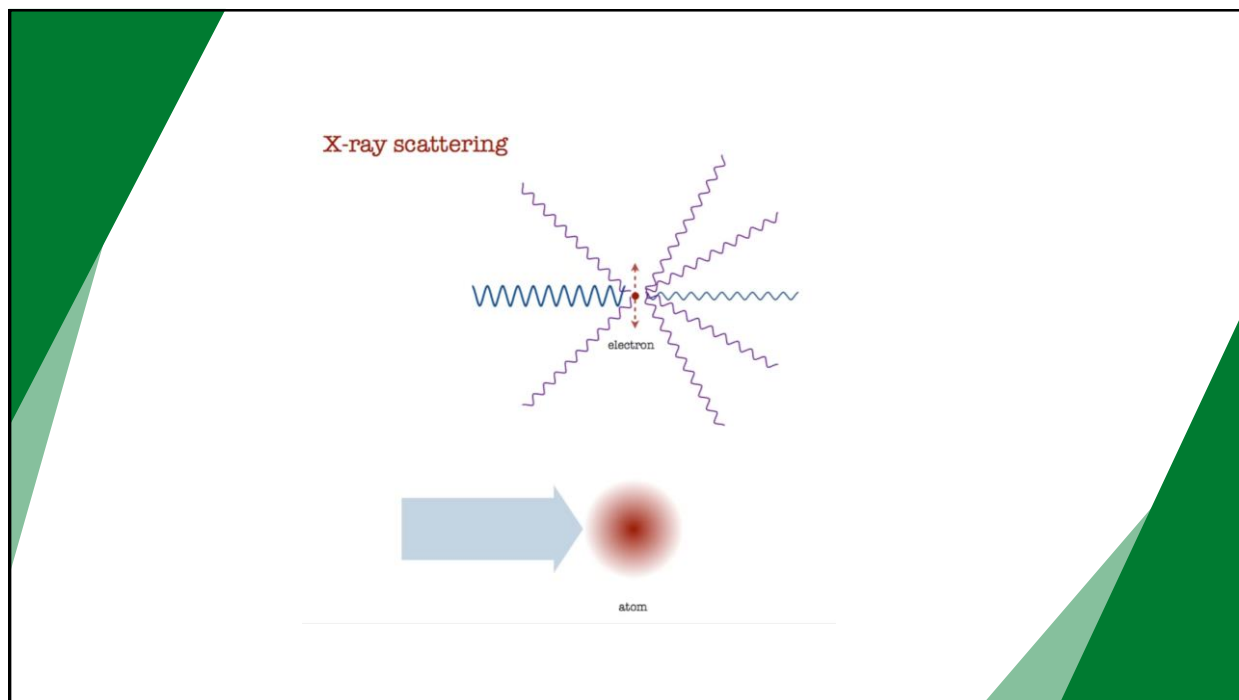


### X-ray scattering

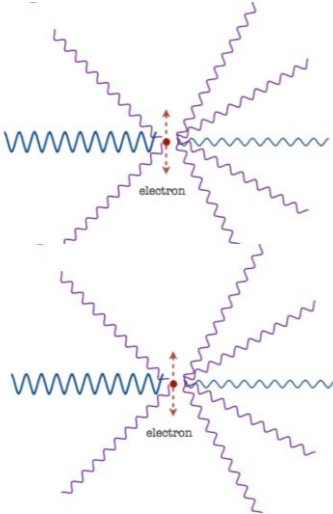
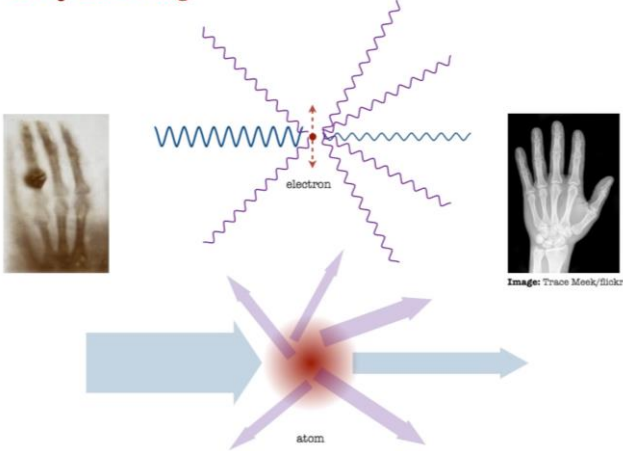


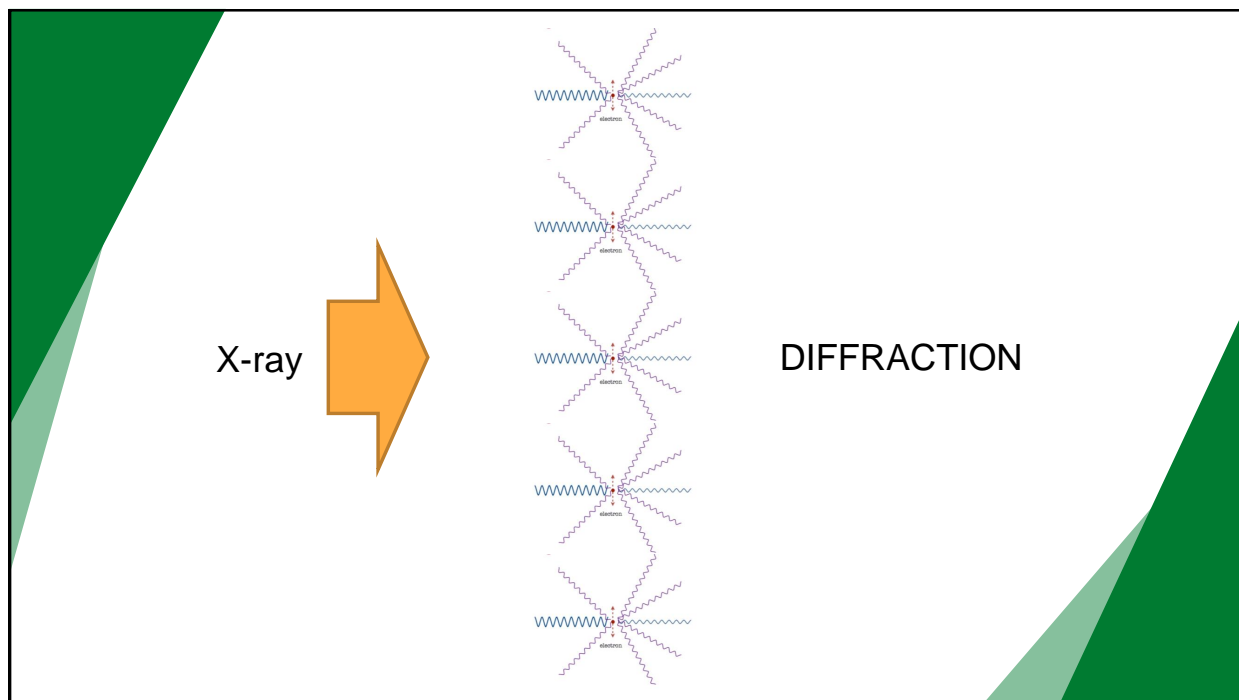
### X-ray scattering



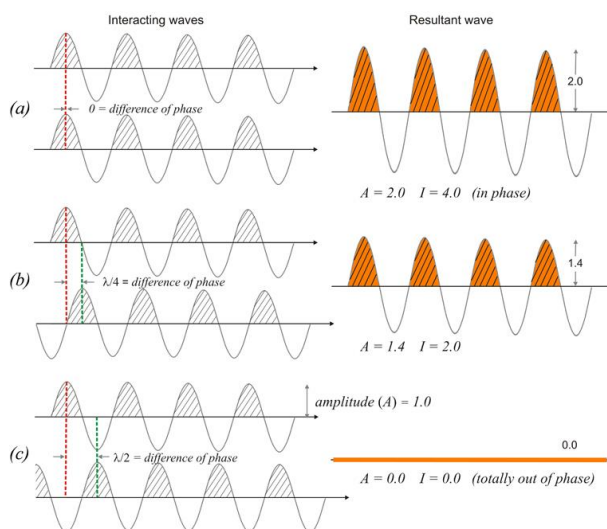


X-ray scattering

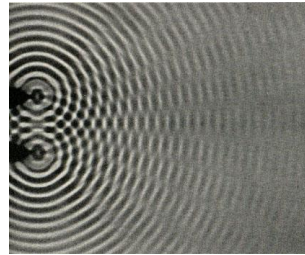
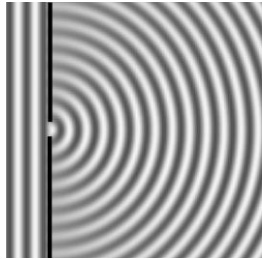




## Superimposition of waves - Interference

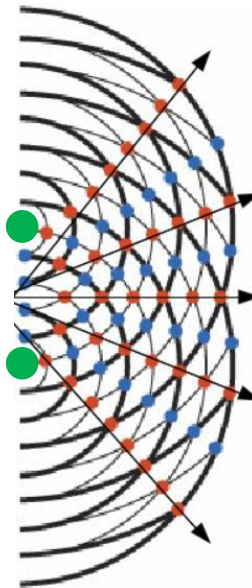
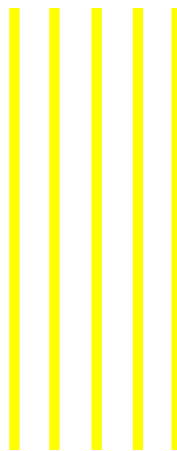


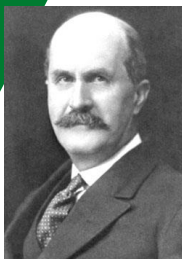
## Superimposition of waves - Interference



[https://javalab.org/en/superposition\\_en/](https://javalab.org/en/superposition_en/)

X-ray





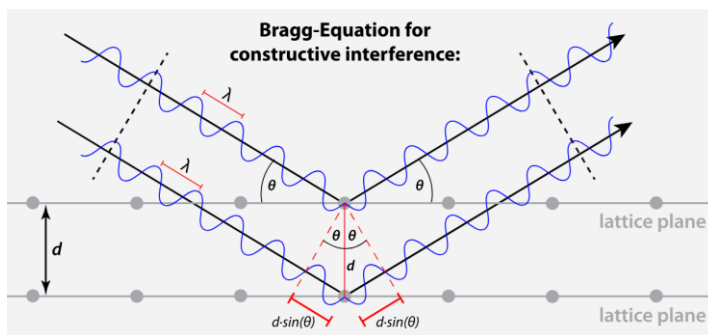
William Henry Bragg  
(1862-1942)

## The Bragg Equation

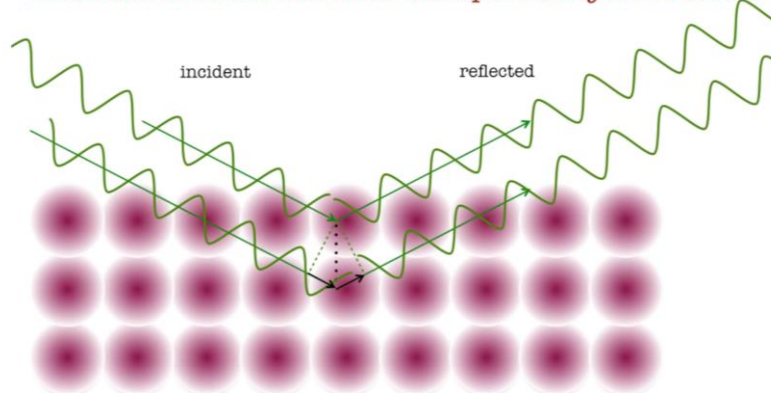
$$\lambda = 2d_{hkl} \sin \theta$$



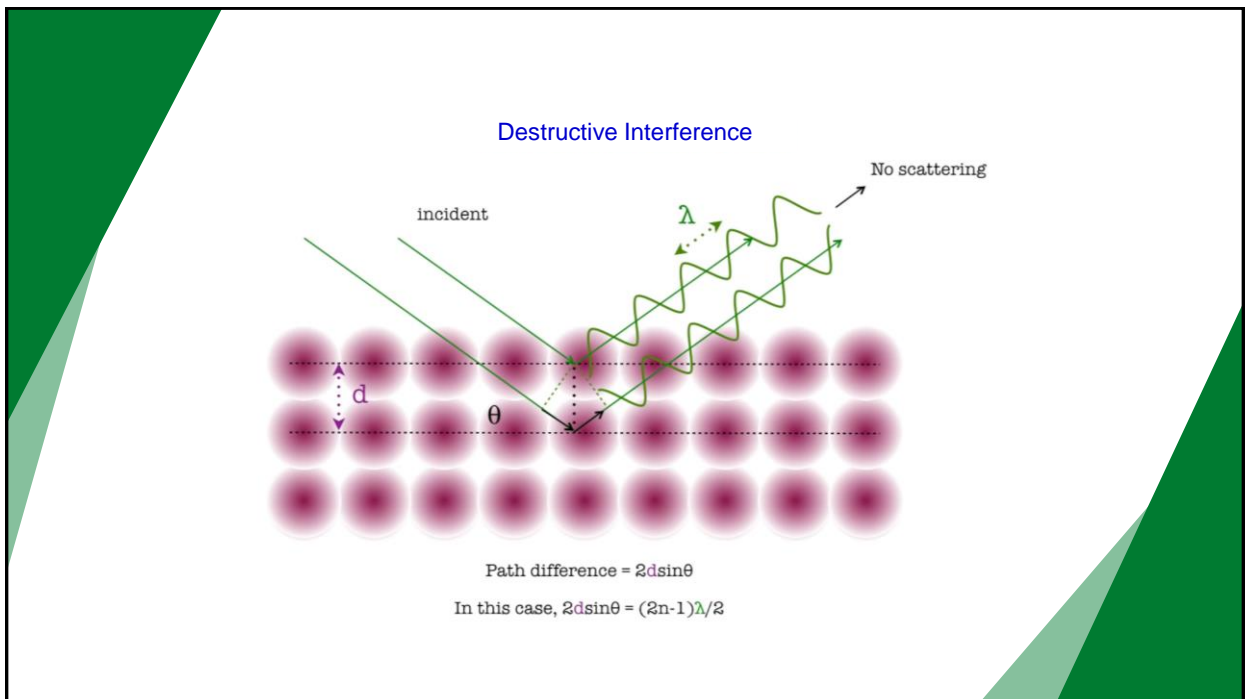
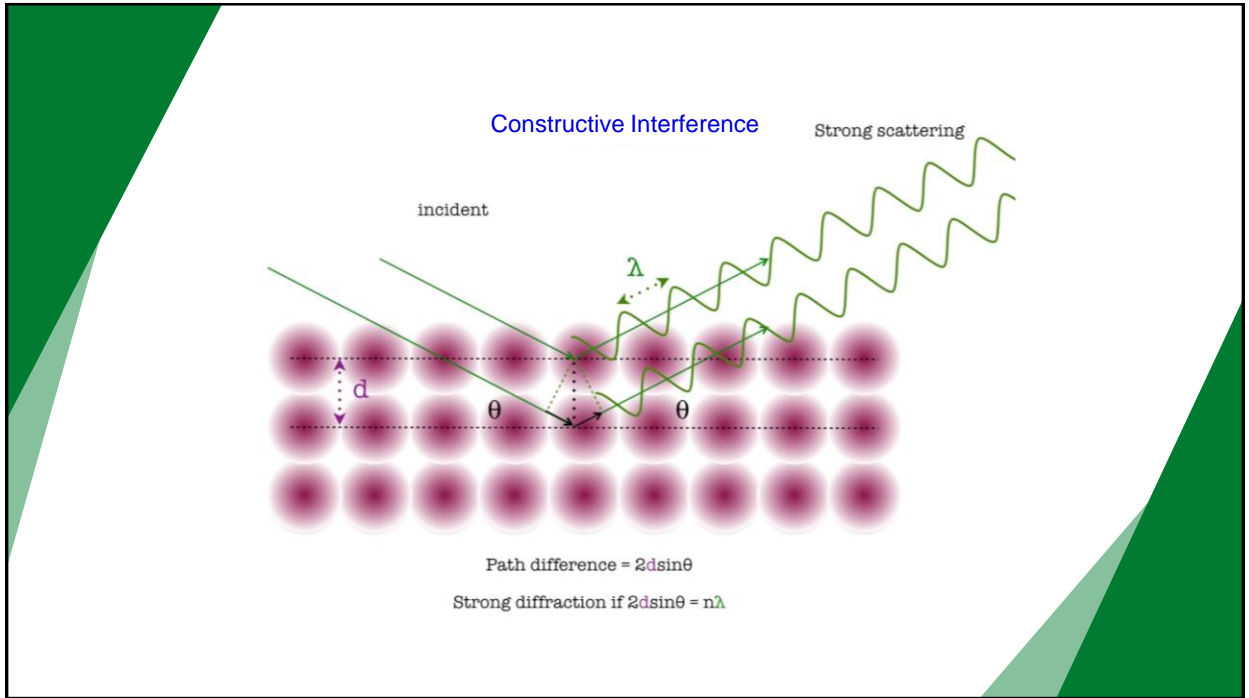
William Lawrence Bragg  
(1890-1971)

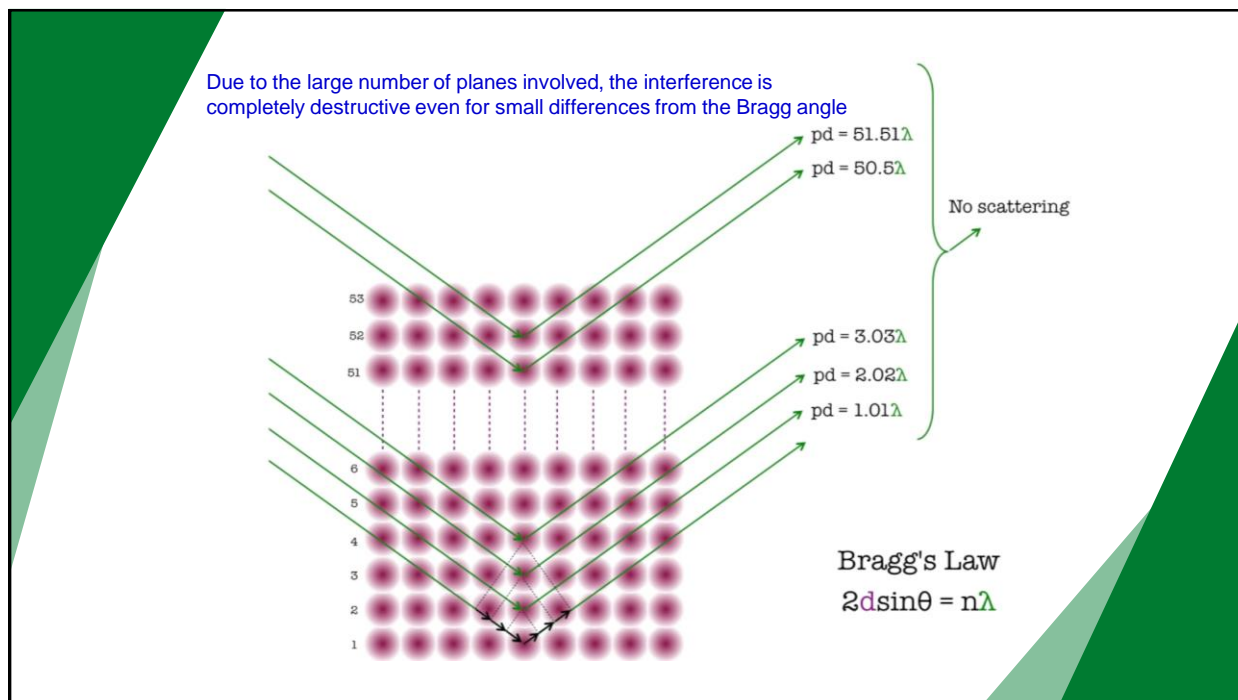


## Reflection from several semi-transparent layers of atoms









## Intensity of a diffraction peak

$$I_{hkl} = \frac{1}{2} \frac{K_e K_{hkl}}{\mu}$$

$I_{hkl}$  is directly proportional to the Structure Factor  $F_{hkl}$  (contained in  $K_{hkl}$ ) and inversely proportional to the linear absorption coefficient  $\mu$

- $K_e$  = experimental constant containing  $I_0$ ,  $e$ ,  $m_e$ ,  $c$ ,  $\lambda$ , and a scale factor  $S$
- $K_{(hkl)}$  = constant specific of the given crystalline phase, containing:
  - $m_{hkl}$  = multiplicity of the hkl reflection,
  - $V$  = Unit cell volume
  - $V_s$  = Irradiated volume of sample
  - $Lp$  = Lorentz - polarization factor
  - $F_{hkl}$  = Structure Factor
- $\mu$  = linear absorption coefficient

$$F_{hkl} = \text{Structure factor} \quad F_{hkl} = \sum_{j=1}^N f_j e^{2\pi i(hx_j + ky_j + lz_j)}$$

in which

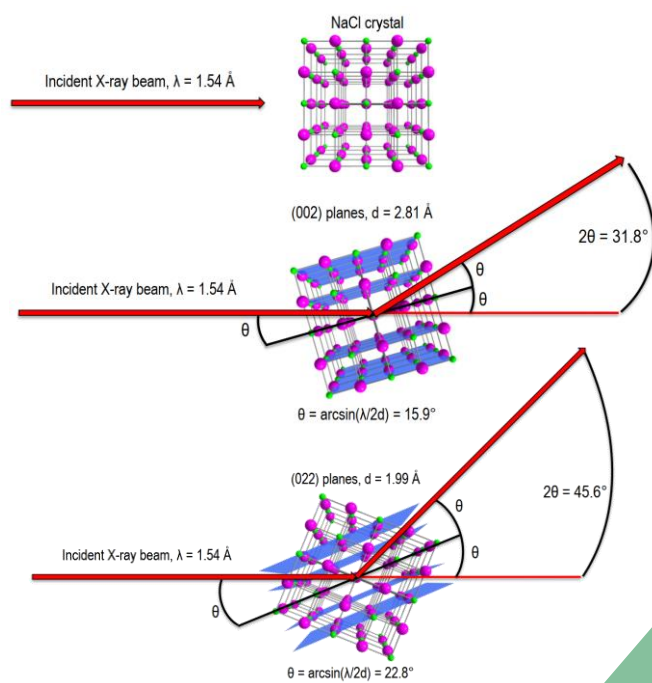
- $x_j y_j z_j$  = crystal coordinates of the  $N$  atoms in the asymmetric unit
- $f_j$  = atomic scattering factors

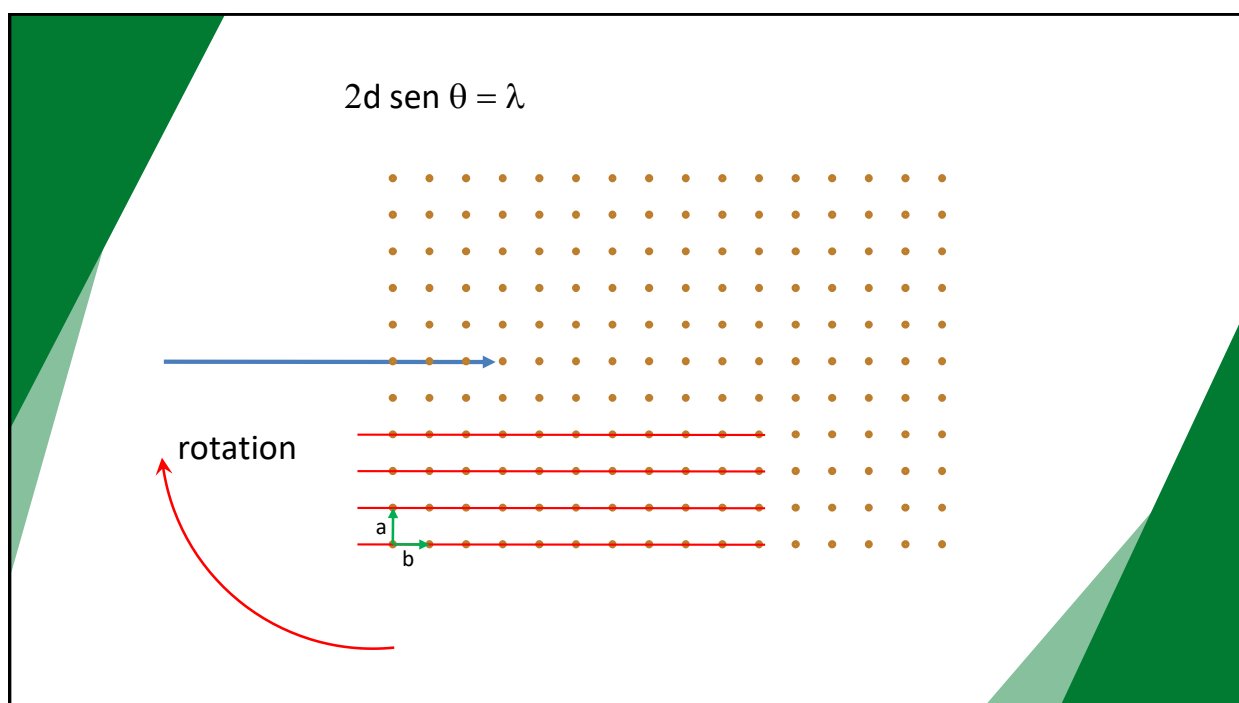
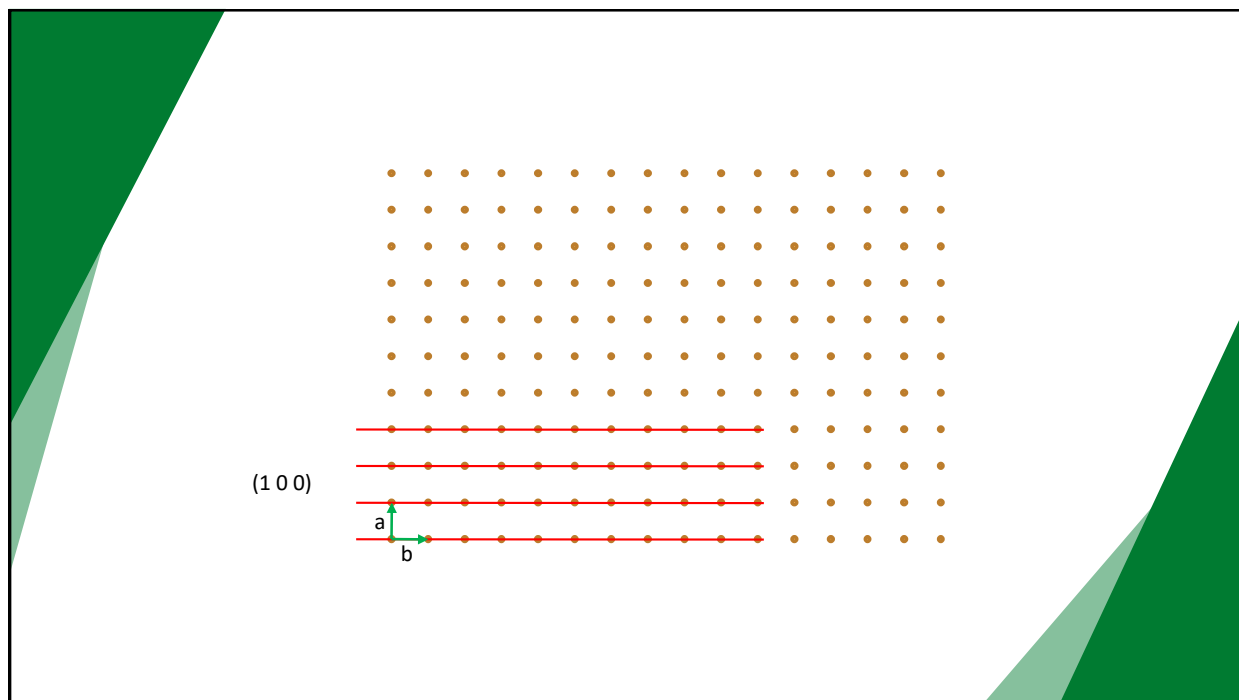
## Single Crystal X-ray Diffraction

Large crystals (...not so large!)

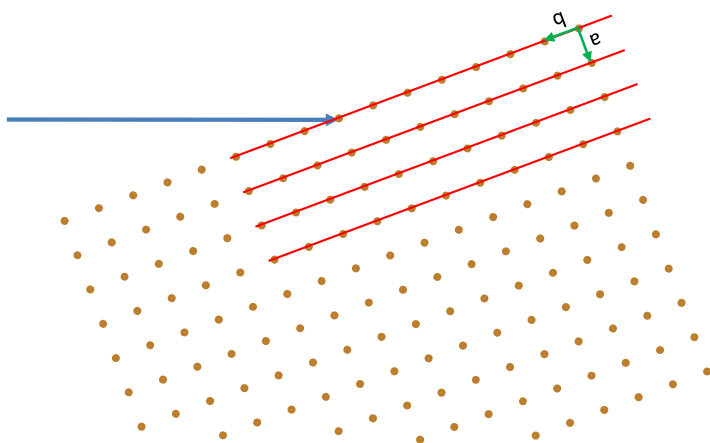


Naica, Mexico

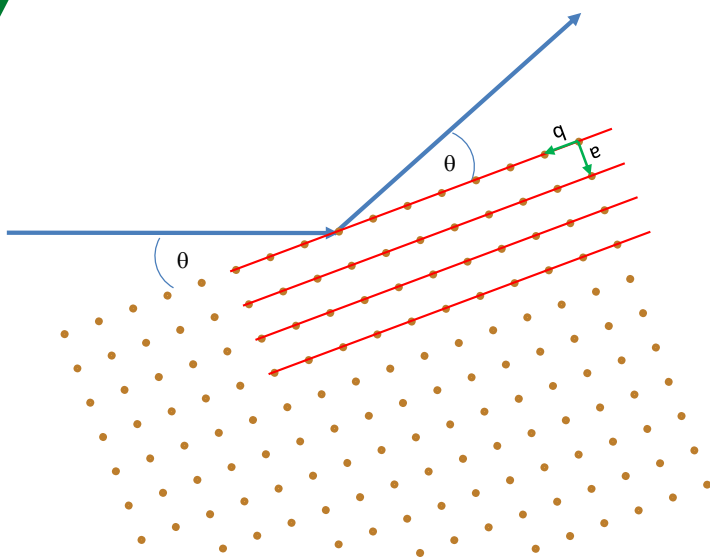


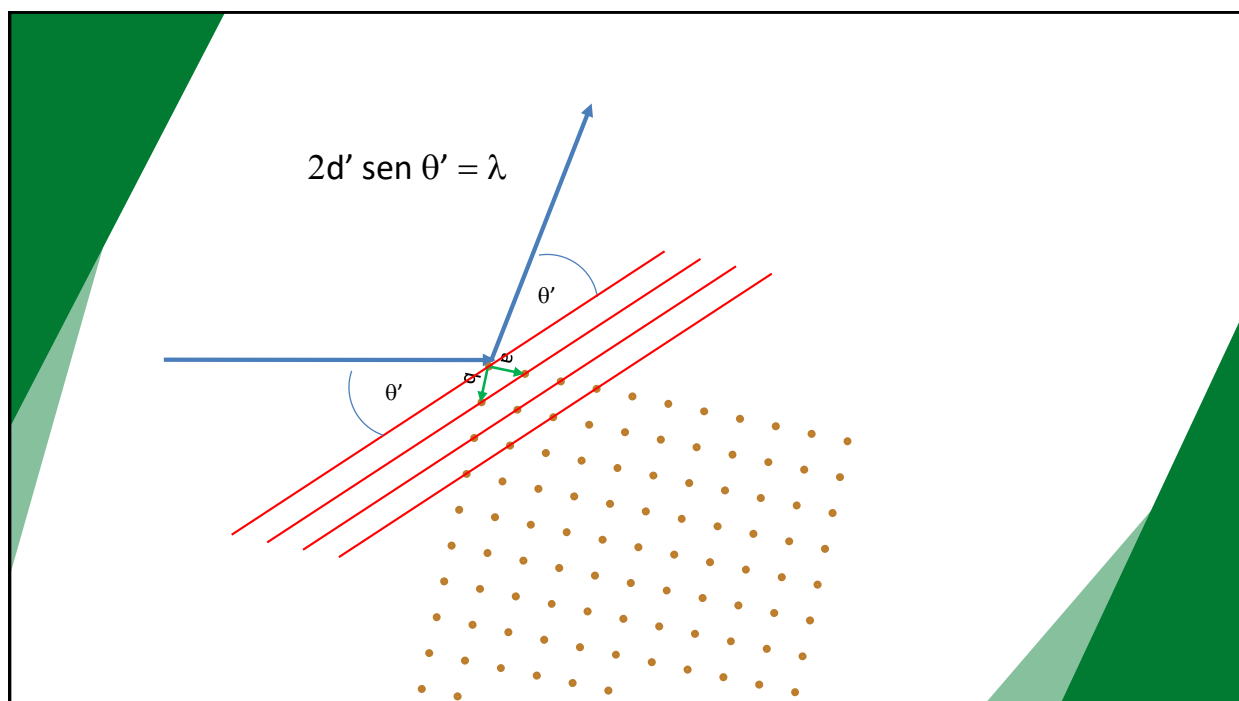
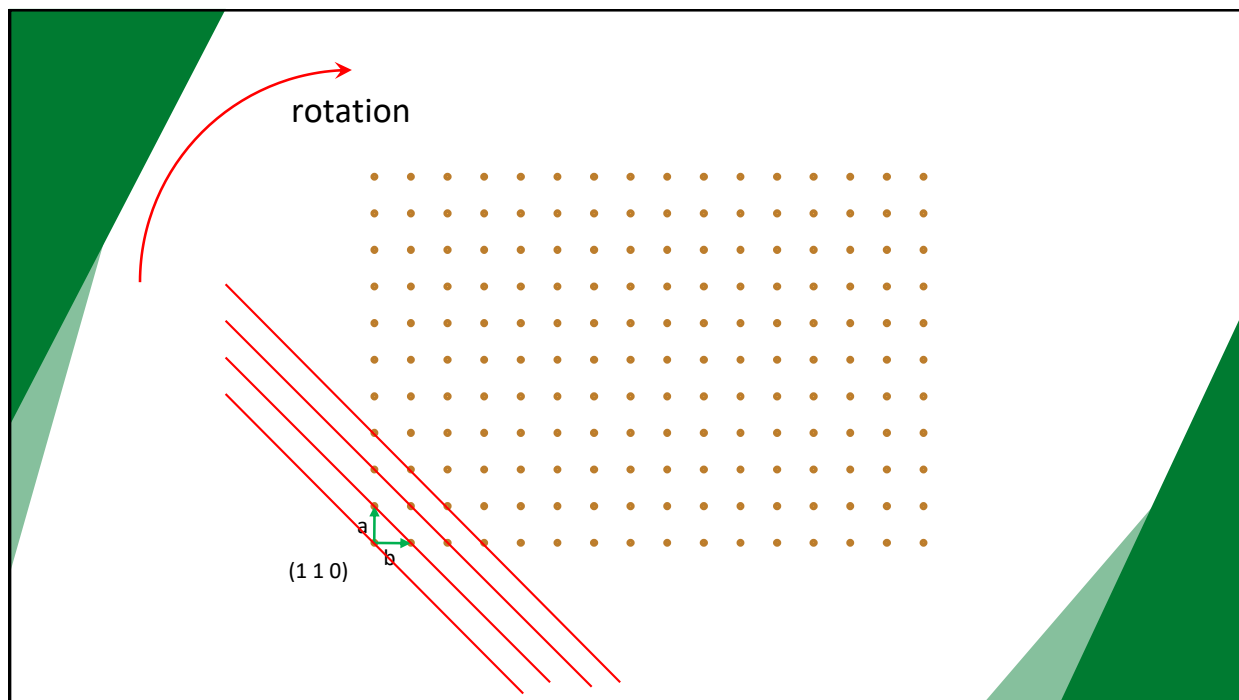


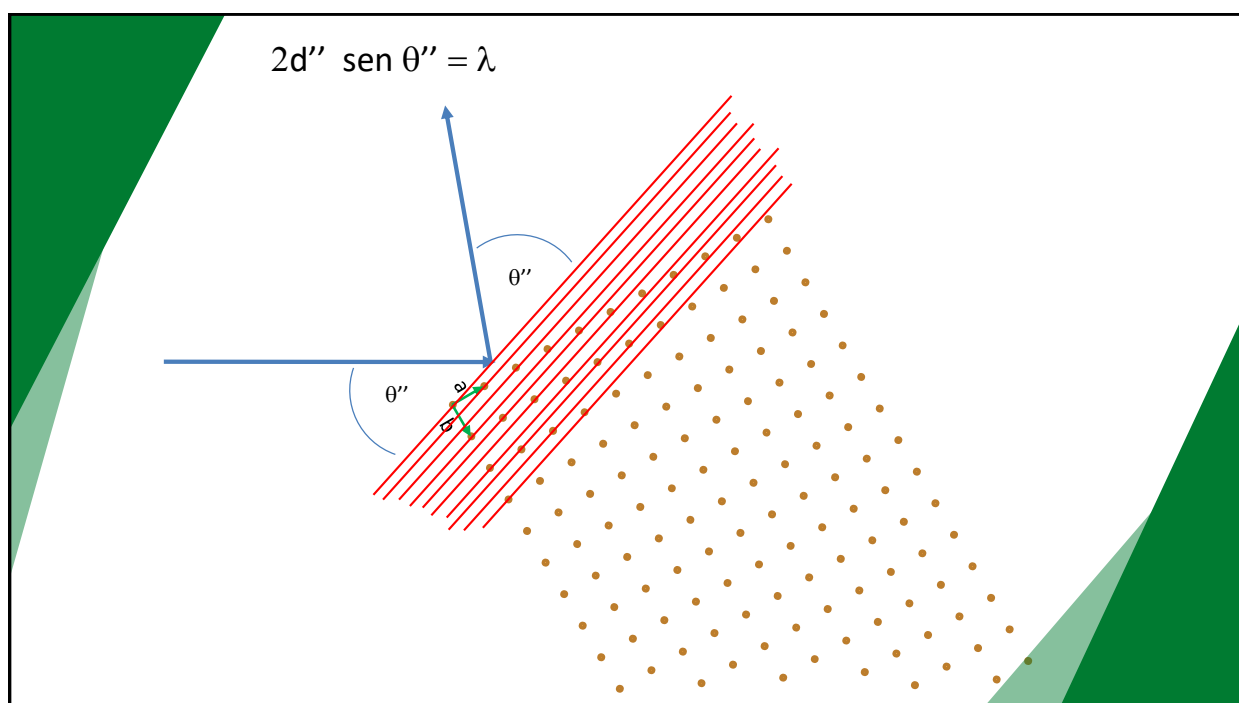
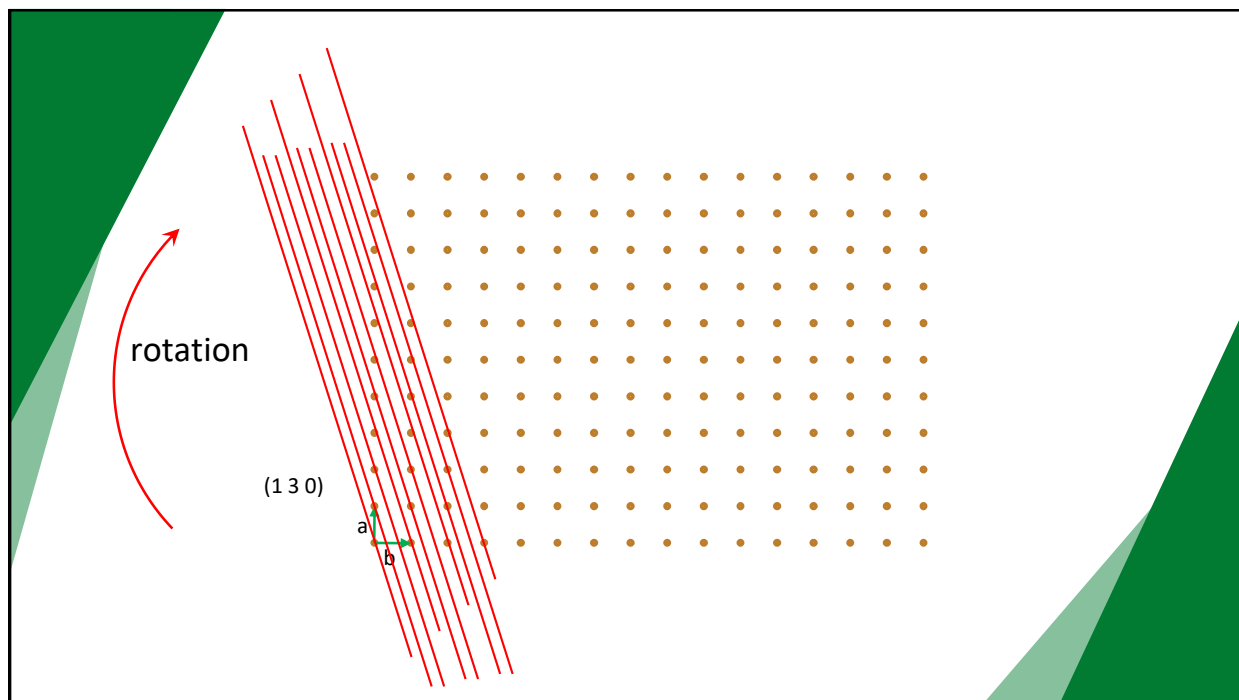
$$2d \sin \theta = \lambda$$



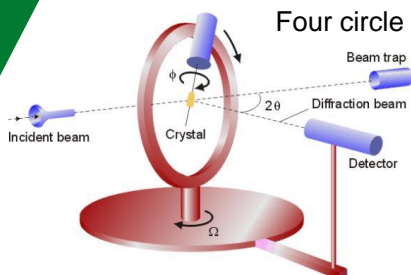
$$2d \sin \theta = \lambda$$







## Single Crystal X-ray Diffraction



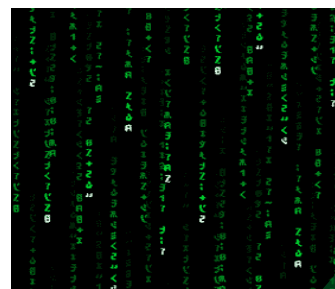
The diffraction effects are spread in 3D  
Many data (5000 - 10000 reflections)



Many  
calculations

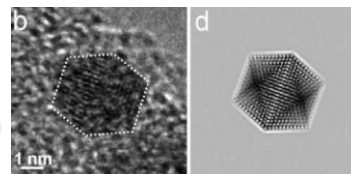


Accurate and complete  
description of the structure



## Powder X-ray Diffraction

Small crystals (...not so small!)

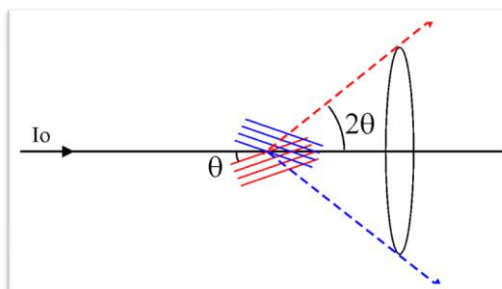
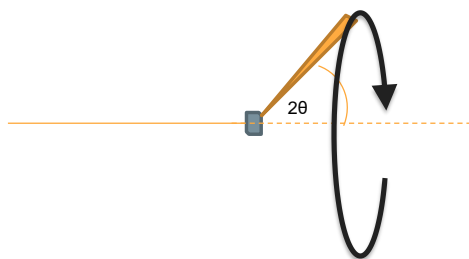


N. Dahal et al. *Chem. Mater.* 2008, 20, 6389

In a microcrystalline, a large number of crystallites are randomly oriented.

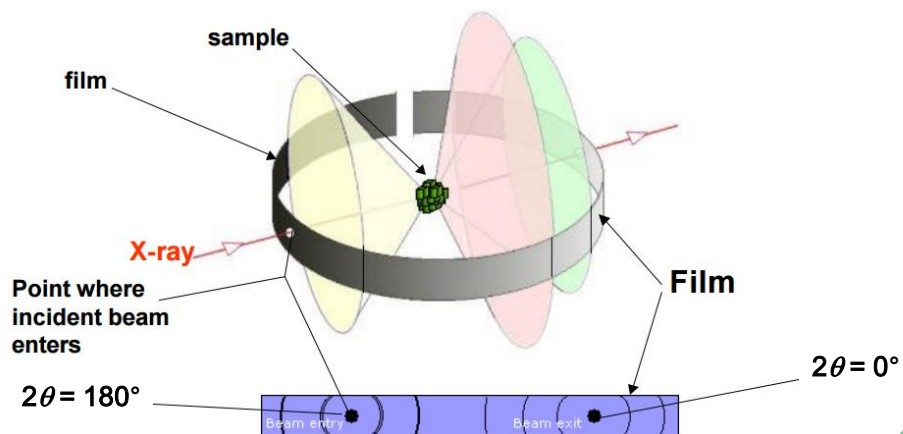
When a family of  $hkl$  planes are in diffraction condition at a given theta angle, all crystallites produce diffracted beams with rotational symmetry with respect to the incident beam. Each single diffracted reflection degenerates into a diffraction cone.

3 D  $\rightarrow$  1 D





## Powder X-ray Diffraction - diffraction cones and Debye rings

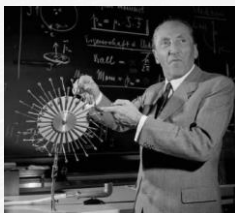


The Debye rings

The photograph shows a typical powder X-ray diffraction pattern. It consists of a central dark spot surrounded by numerous concentric, slightly blurred white rings on a dark background. The rings represent the diffraction of X-rays from the randomly oriented crystallites in the sample.



Peter Debye  
(1884-1966)



Paul Scherrer  
(1890-1969)



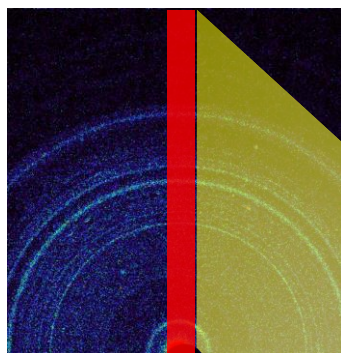
### The Debye rings



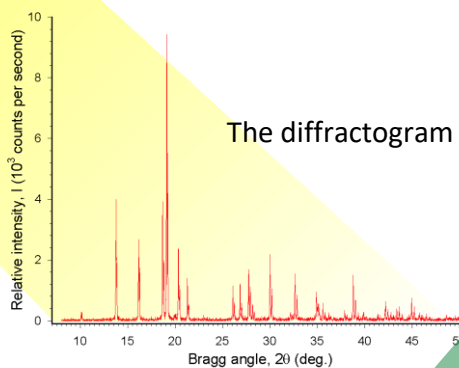
## Powder X-ray Diffraction

All the information contained in the diffraction figure is compressed into 1D, and a lot of information is lost, due to peak overlapping.

However, the instrumental apparatus is much simpler than single-crystal diffraction, and can give a statistical image of a multi-phase material

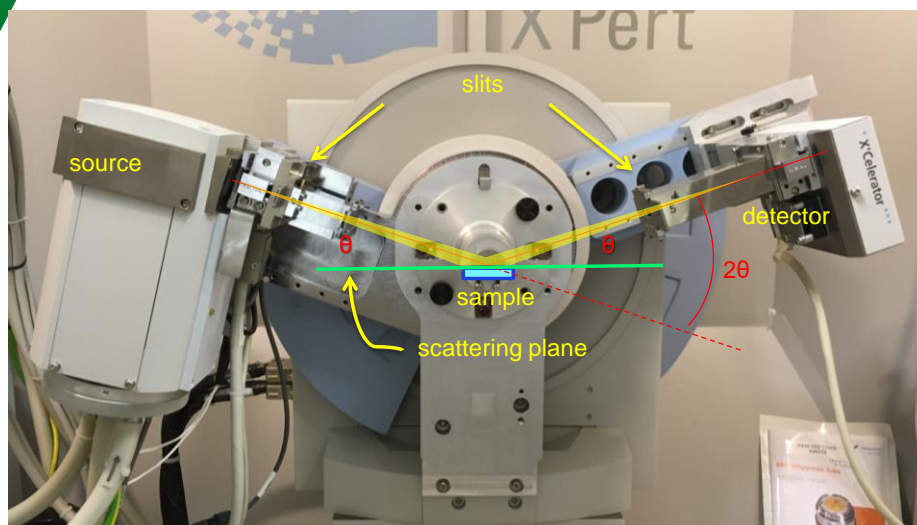


X-ray powder pattern of Mars soil

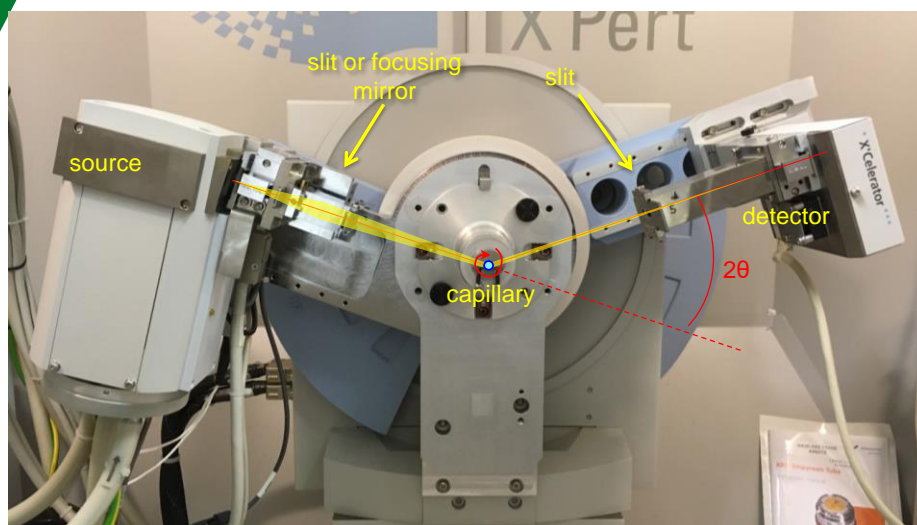


The diffractogram

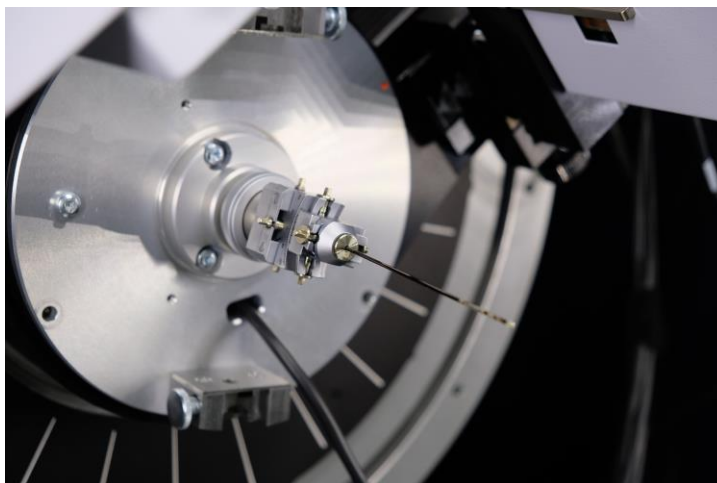
A Lab Diffractometer (Panalytical)  
in reflection (Bragg-Brentano) geometry - flat sample



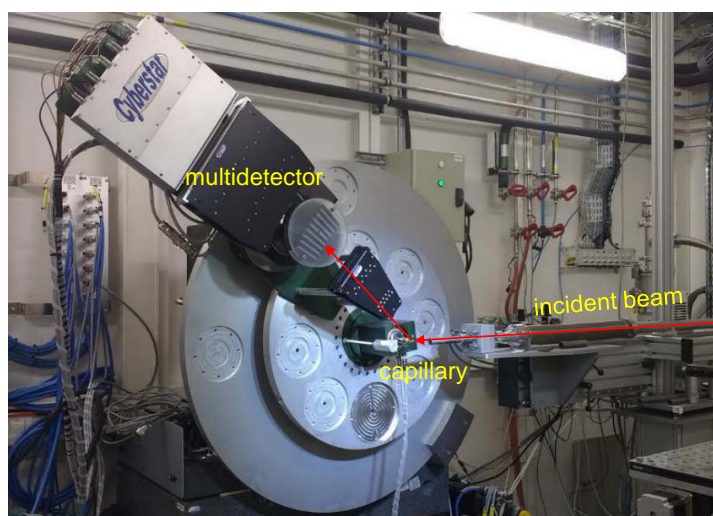
A Lab Diffractometer (Panalytical)  
in transmission geometry - sample in capillary



A Lab Diffractometer (Bruker)  
in transmission geometry - sample in capillary

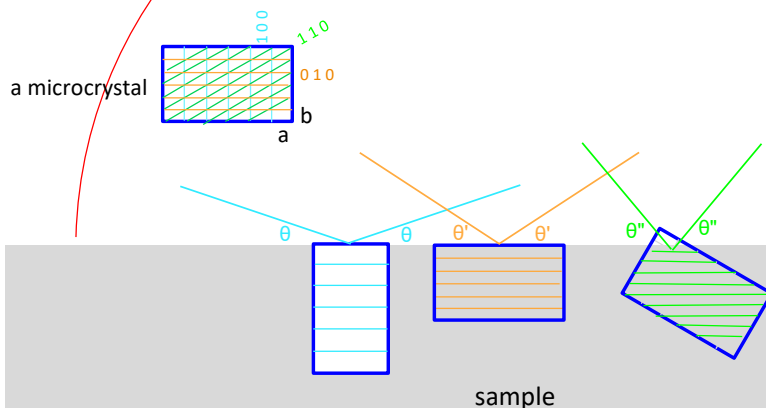


Synchrotron Diffractometer (ESRF ID22)  
in transmission geometry - sample in capillary



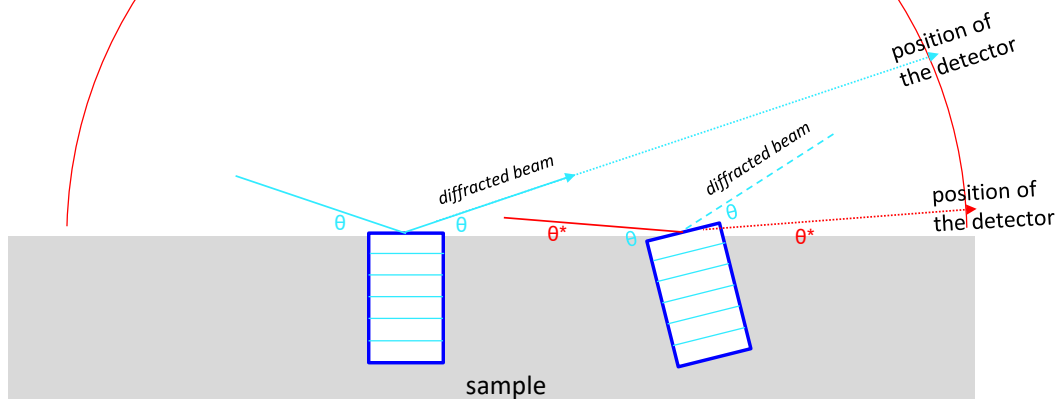
### Reflection (Bragg-Brentano) geometry - flat sample

In reflection geometry the sample is packed onto a surface that lies in the focusing plane. In this geometry the incident beam and the detector synchronously rotate in the same circle and form the same theta angle with the sample surface. Only the diffraction effects of atomic planes parallel to the surface can be measured (at the proper angles). Therefore it is important that on the surface of the sample there is a large number of randomly oriented microcrystals in order to equally represent all the atomic planes.



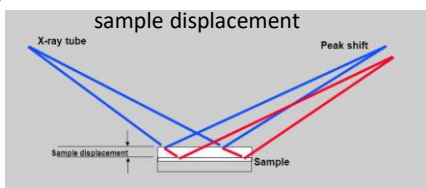
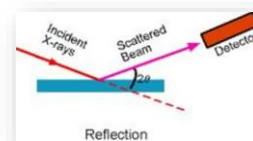
### Reflection (Bragg-Brentano) geometry - flat sample

In reflection geometry the sample is packed onto a surface that lies in the focusing plane. In geometry the incident beam and the detector synchronously rotate in the same circle and the same theta angle with the sample surface. Only the diffraction effects of atomic planes parallel to the surface can be measured (at the proper angles). Therefore it is important that on the surface of the there is a large number of randomly oriented crocrystals in order to equally represent all the atomic planes.

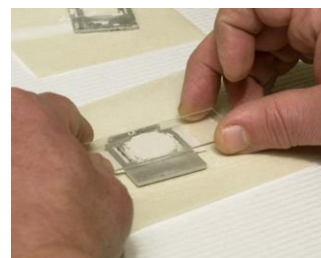
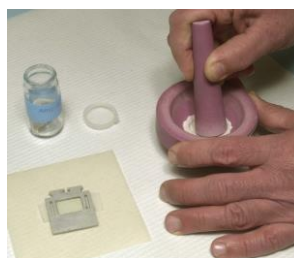
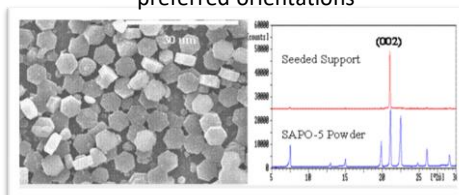


## Reflection (Bragg-Brentano) geometry - flat sample

In reflection geometry the sample preparation is very simple but it is prone to introduce systematic errors, such as preferred orientations and sample displacement

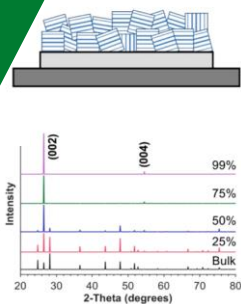


preferred orientations

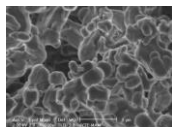


## Preferred Orientations

Ex. metals soaps



C.F. Holder, R.E. Shaak, ACS Nano 2019, 13, 7359



S. Ozturk et al. Ind. Eng. Chem. Res. 2010, 49, 1732

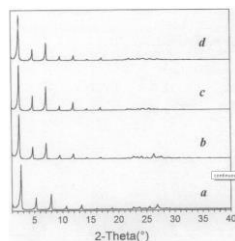
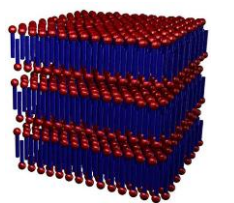
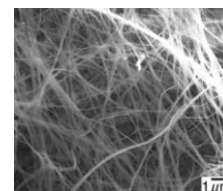
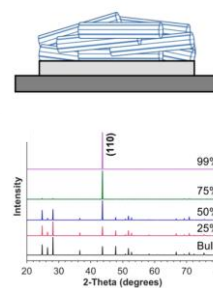


Figure 1 XRD patterns of (a) zinc palmitate, (b) zinc stearate, (c) zinc oleate and (d) zinc laurate from 1 to 40°. 2 $\theta$ .

L. Robinet, M.-C. Corbelli, Studies in Conservation, 2003, 48, 23

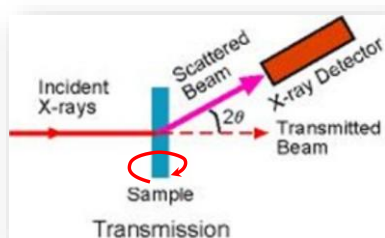
Ex. cerium phosphate



Chengchun Tang et al. Angew. Chem. 117, 582-585.

### Transmission geometry - capillary sample

In transmission geometry the sample is generally packed into a capillary tube (0.5-0.3 mm) placed in the focusing spot. In this geometry the incident beam is fixed and the detector rotates in the  $2\theta$  circle. The capillary rotates around its axis to improve data statistics. This geometry is not affected by a sample displacement error, and reduces preferred orientation effects. However it is more difficult to set up and can present statistics problems due to the small amount of sample inside the capillary.



### Setup of an X-ray diffraction data collection

In powder diffraction the 3D information is compressed in one dimension, and it is sometimes very difficult to extract information suitable for our needs from a diffractogram. A correct and accurate data collection is essential for any use.

#### Depending on several factors:

- the kind of sample
- what is the target of the experiment
- how much money and time is available
- what kind of instrument is available

#### we must define:

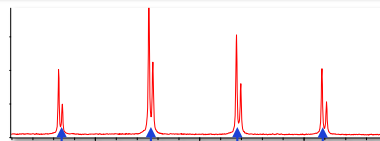
- the sample preparation
- the instrument, geometry, optics and sample environment
- the  $2\theta$  angular interval,  $2\theta$  scan step, and the acquisition time per each step

## Powder X-ray Diffraction Pattern - information that can be extracted

### QUALITATIVE

- Identification of the crystalline phases

**Useful data: 2theta position and number of peaks**

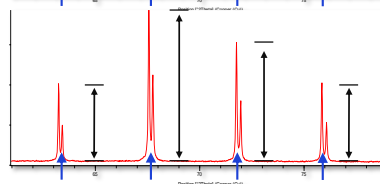


+

### QUANTITATIVE

- Weight % of each crystalline phase
- Amorphous phase content

**Useful data: intensity and 2theta position and number of peaks**

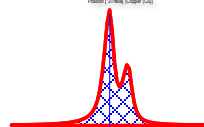


+

### MICROSTRUCTURAL

- Size of crystallites
- Lattice deformations
- Dislocations, stacking defects

**Useful data: peak profile (broadening)**



+

### COMPLETE STRUCTURE

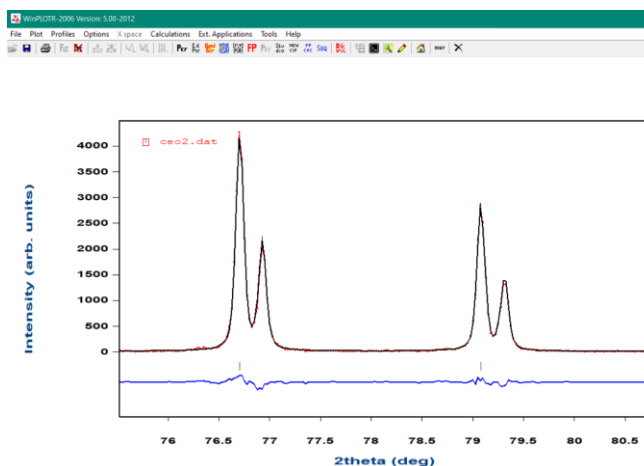
- Lattice parameters (crystalline system)
- Symmetry properties (lattice type, space group)
- atomic coordinates, thermal factors, occupancy

**Useful data: everything**

everything

=

## Recommended a dedicated software






## Powder X-ray Diffraction - Qualitative Analysis - Peak Positions

The 2 $\theta$  position of diffraction peaks of a single phase depends on its unit cell parameters, while the total number of peaks also depends on space group.

The diffraction pattern is a fingerprint of a crystal phase → **Qualitative analysis** - Search and Match software (QUALX) linked with a database (PDF, COD)

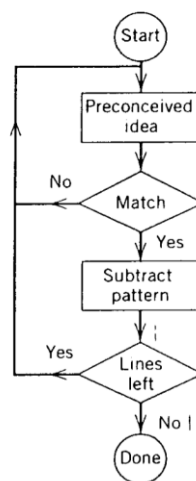
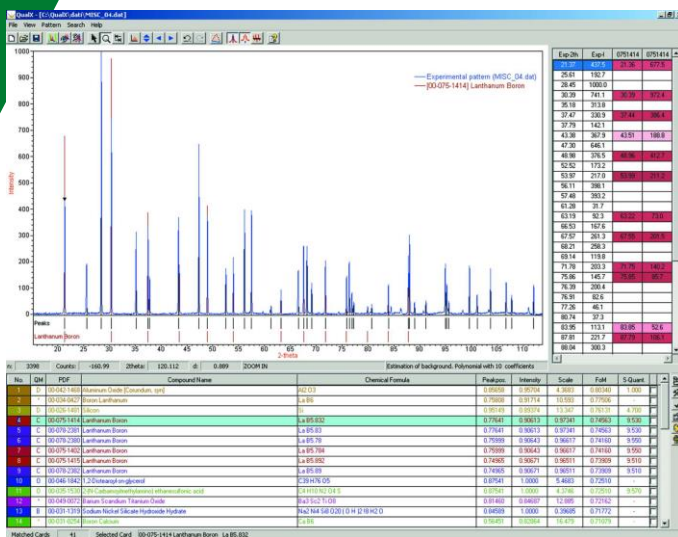
ICDD PDF4 (\$\$\$) - 480.000 entries  
<https://www.icdd.com/pdf-4/>

 **Crystallography Open Database**

Free - 495.000 entries  
<http://www.crystallography.net/cod/>



## Powder X-ray Diffraction - Qualitative Analysis (QUALX → 47-48)



## Qualitative Analysis (QUALX)

$$FoM = \sqrt{\frac{FoM_{db} \cdot (w_0 \cdot FoM_0 + w_I \cdot FoM_I + w_{ph} \cdot FoM_{ph})}{w_0 + w_I + w_{ph}}}$$

$$FoM_0 = 1 - \frac{\sum_i^{N_{db}^{exp}} |2\theta_i^{exp} - 2\theta_i^{db}|}{N_{db}^{exp} \cdot \Delta}$$

contribution coming from the  $2\theta$  differences between the experimental and the associated database peaks

$$FoM_{ph} = \sqrt{\frac{\sum_{i=1}^{N_{exp}^{ass}} I_i^{exp} \cdot N_{exp}^{ass}}{\sum_{i=1}^{N_{exp}^{ass}} I_i^{exp} \cdot N_{exp}^{ass}}}$$

contribution due to the differences between the intensities of the experimental and the associated database peaks

$$FoM_I = 1 - \frac{\sum_i^{N_{exp}^{ass}} |I_i^{exp} - I_i^{db}|}{N_{exp}^{ass}}$$

contribution due to the differences between the intensities of the experimental and the associated database peaks

$$FoM_{db} = \sqrt{\frac{\sum_{i=1}^{N_{db}^{ass}} I_i^{db} \cdot N_{db}^{ass}}{\sum_{i=1}^{N_{db}^{ass}} I_i^{db} \cdot N_{db}^{ass}}}$$

contribution due to the intensities of the associated database peaks and their percentage

Altomare et al., *J. Appl. Cryst.* (2015). **48**, 598

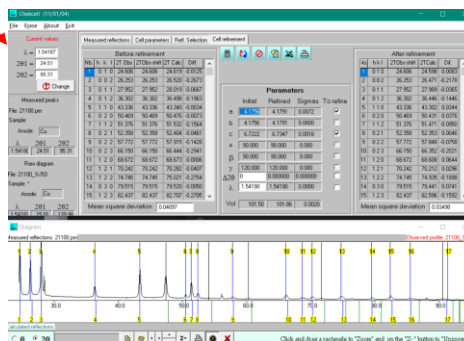
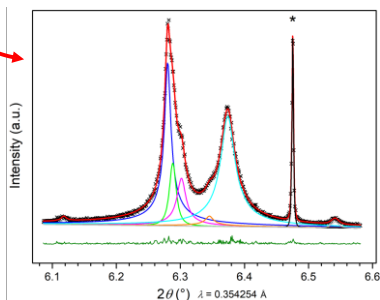
## Refinement of Unit Cell Parameters (→ 21100)

Sometimes we know only approximately the unit cell parameters of a crystalline phase and their accurate values can give us precious informations on phase composition (→ Vegard's law) or for identification purposes.

The refinement of unit cell parameters can be done by least square methods. The accurate estimation of peak positions is needed first.

Single or cluster peak fit by a dedicated software: Fullprof, XFit, etc.

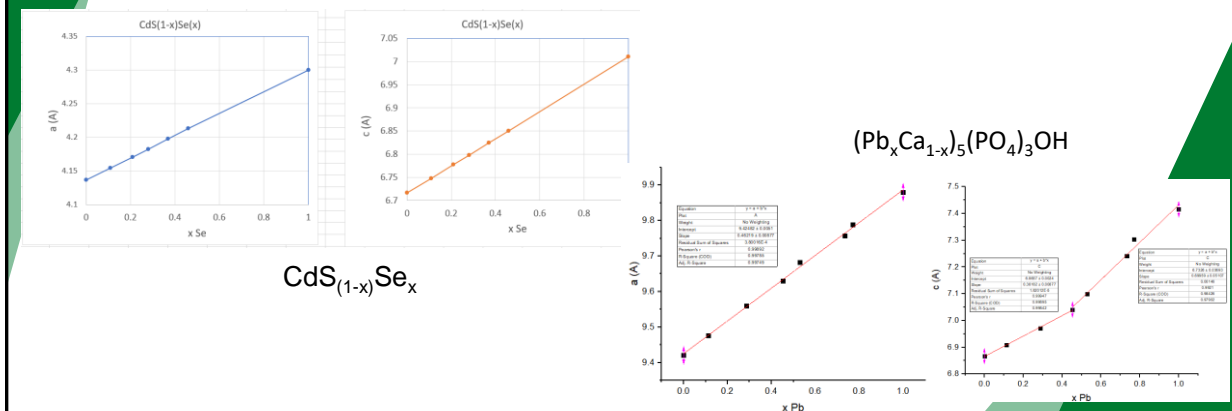
Then "Chekcell" or "Celref"



## The Vegard's law

The Vegard's law is not a true "law", but only an empirical rule. It states that in a two-component solid solution the unit cell parameters are generally linearly correlated with the composition. Useful in some cases to find the composition from simple diffractometric measurements

Ex.  $\text{Cd}_{(1-x)}\text{Zn}_x\text{S}$ ,  $\text{CdS}_{(1-x)}\text{Se}_x$ , Pb-Hydroxylapatite, many metal oxides



## EXPO

The software EXPO2014\* is able to carry out the full pathway of the crystal structure solution process: indexing, space group determination, estimation of the integrated intensities, ab-initio and non ab-initio structure solution, Rietveld refinement. → EXPO



\*A. Altomare, et al., J. Appl. Cryst. (2013). 46, 1231

## Quantitative Analysis

The intensity of each  $hkl$  reflection, per each crystalline phase  $\alpha$  is proportional to the volume fraction  $x_\alpha$  of that phase in the mixture

$$I_{(hkl)\alpha} = \frac{1}{2} \frac{K_e K_{(hkl)\alpha} x_\alpha}{\mu_m}$$

$$K_e = \frac{I_0 \lambda^3 l_{fend}}{32\pi R_{gon}} \frac{e^2}{m_e c^2}$$

$$K_{(hkl)\alpha} = \frac{|F_{(hkl)\alpha}|^2 m_{(hkl)\alpha} Lp_{(hkl)\alpha}}{V_{c,\alpha}^2}$$

- $K_e$  = experimental constant
- $K_{(hkl)}$  = constant specific of the structure of phase  $\alpha$
- $x_\alpha$  = Volume fraction of phase  $\alpha$
- $\mu_m$  = linear absorption coefficient of the mixture
- $m_{hkl}$  = multiplicity of the hkl reflection
- $V_\alpha$  = Unit cell volume
- $Lp$  = Lorentz - polarization factor
- $F_{(hkl)\alpha}$  = Structure factor

$$F_{(hkl)} = \sum_{j=1}^N f_j e^{2\pi i(hx_j + ky_j + lz_j)}$$

## Quantitative Analysis

When the linear absorption coefficient  $\mu_m$  is converted into the mass absorption coefficient  $\mu_m^*$ , and the volume fraction  $x_\alpha$  is converted into the mass fraction  $w_\alpha$ , the densities  $\rho_\alpha$ ,  $\rho_M$  of phase  $\alpha$  and the matrix, respectively, must be introduced. While the density of phase  $\alpha$  can be obtained, that of the matrix can be calculated if its composition is known.

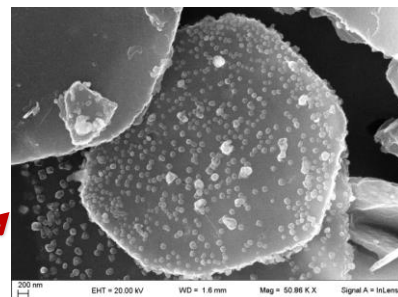
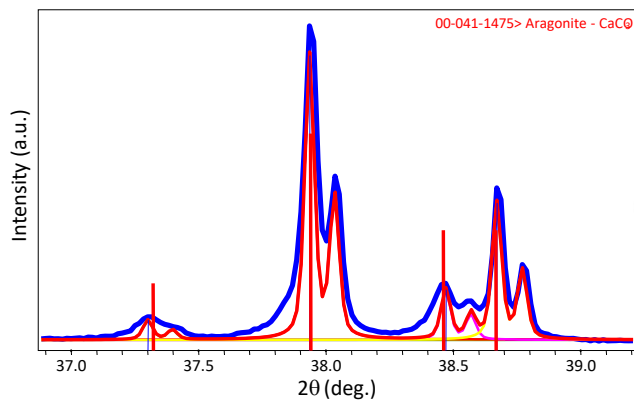
$$I_{(hkl)\alpha} = \frac{K_\alpha w_\alpha}{\rho_\alpha [w_\alpha (\mu_\alpha^* - \mu_M^*) + \mu_M^*]}$$

Furthermore, the intensity of an hkl peak can be affected by systematic errors due to preferred orientations.

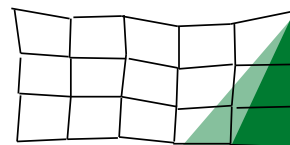
→ **Quantitative analysis based on the measurement of the intensity one or a few peaks is not recommended**

**Rietveld Refinement Method**

## Peak Profile and Microstructure



CRYSTAL SIZE



MICROSTRAIN

## Peak Profile and Microstructure

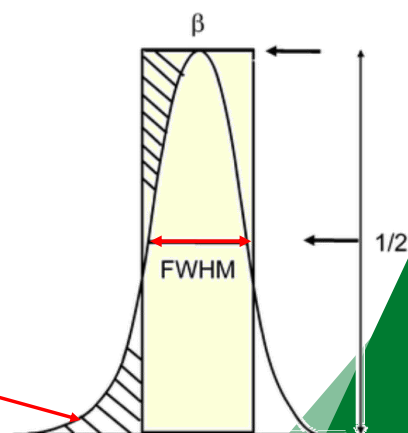
### Full Width at Half Maximum (FWHM)

the width of the diffraction peak, in radians, at a height half-way between background and the peak maximum

### Integral Breadth

the total area under the peak divided by the peak height. Is the width of a rectangle having the same area and the same height as the peak

requires very careful evaluation of the tails of the peak and the background



## Peak Profile and Microstructure

### CRYSTAL SIZE

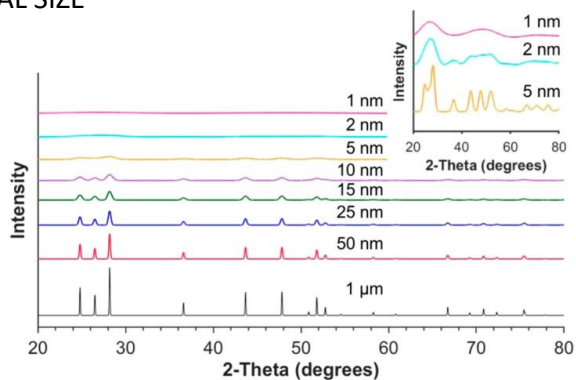
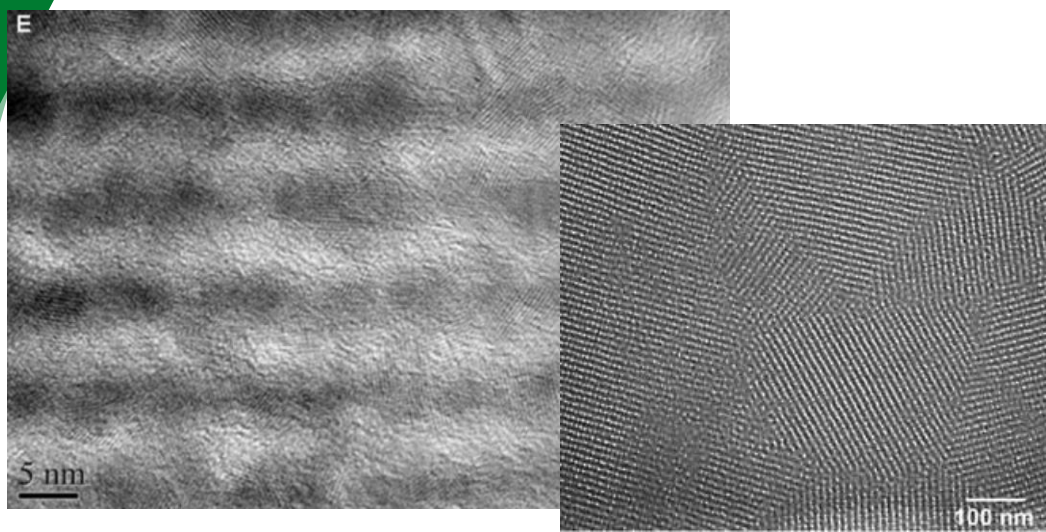


Figure 2. Simulated powder X-ray diffraction patterns for wurtzite CdS spherical particles of different sizes that range from 1  $\mu\text{m}$  to 1 nm. The inset shows the 1, 2, and 5 nm XRD patterns on an expanded y-axis scale for clarity.

C.F. Holder, R.E. Shaak, ACS Nano 2019, 13, 7359

In powder diffraction CRYSTAL SIZE should be interpreted as "average dimension of coherent diffraction domains". It is generally indicated as  $D_v$  (or  $S$ )



## Peak Profile and Microstructure

**Size Broadening** - The Scherrer Equation (1918)

$$\beta = \frac{K\lambda}{S \cos \theta}$$

$\beta$  = contribution to the width of diffraction peaks, in radians  $2\theta$

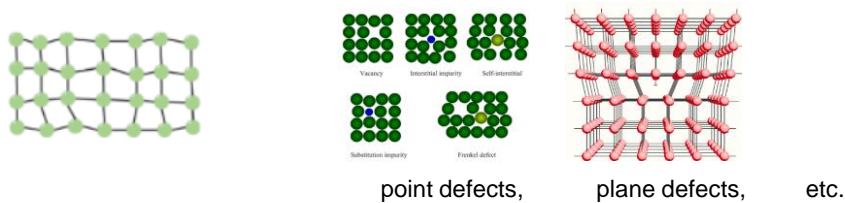
$S$  = crystal size

$K$  = constant which depends on crystallite morphology

$$K = (0.87 \div 1.0)$$

## Peak Profile and Microstructure

Microstrain is the set of lattice defects that lead to strain and disorder within the crystal and tends to broaden the amplitude of the diffraction peaks



**Strain Broadening** - and Wilson (1944)  $\beta = 4\varepsilon \tan \theta$

$\beta$  = Contribution to the width of diffraction peaks

$\varepsilon$  = Average strain

$$\varepsilon = \left\langle \frac{\Delta d}{d} \right\rangle$$

## Profile Functions

Gaussian  $G(\Delta\mathcal{G}, \Gamma) = \sqrt{\frac{4\ln 2}{\pi\Gamma^2}} \cdot e^{-\frac{4\ln 2(\Delta\mathcal{G})^2}{\Gamma^2}}$

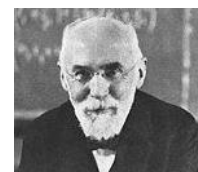
Lorentzian  $L(\Delta\mathcal{G}, \gamma) = \frac{2}{\pi\gamma} \frac{1}{1 + \left(\frac{2\Delta\mathcal{G}}{\gamma}\right)^2}$

Pseudo-Voigt  $P(\Delta\mathcal{G}, \Gamma, \gamma) = \eta L + (1 - \eta)G$   
 $\eta = A + B(2\mathcal{G})$

$\Gamma$  = Gaussian FWHM       $\gamma$  = Lorentzian FWHM

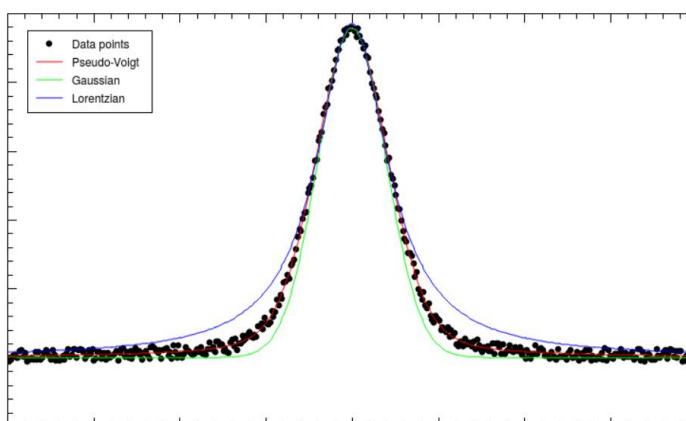


Johann Carl Friedrich Gauss  
(1777-1855)



Hendrik Lorentz  
(1853-1928)

## Gaussian and Lorentzian

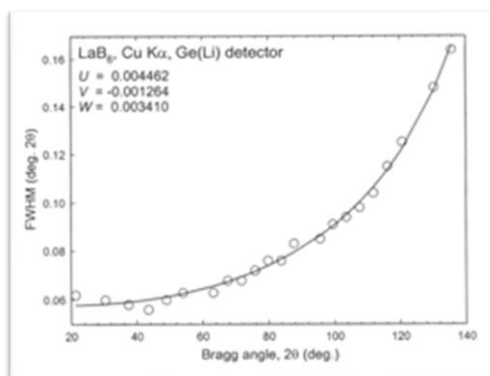




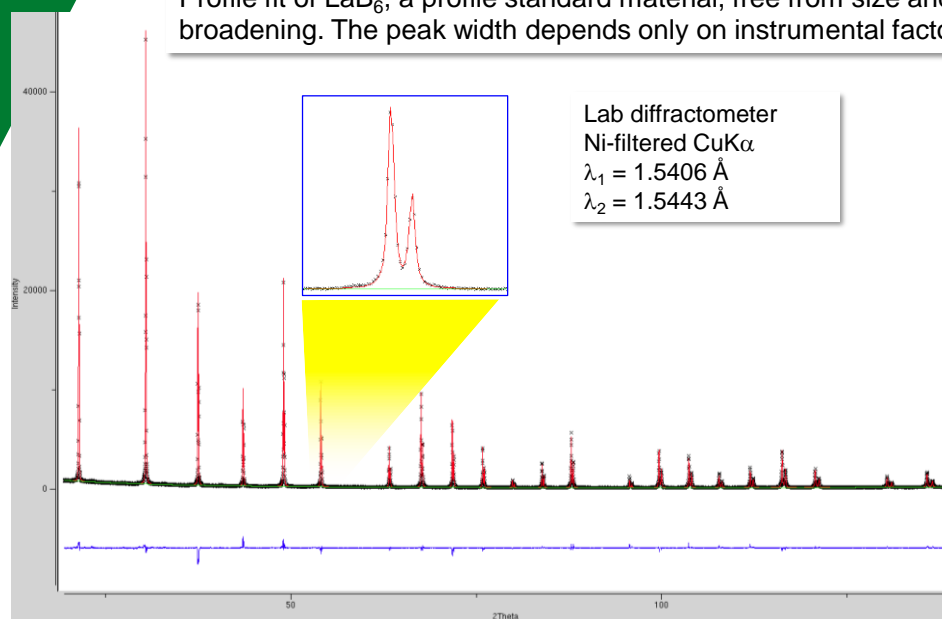
## Gaussian FWHM

$$\Gamma = (U \tan^2 \theta + V \tan \theta + W)^{1/2}$$

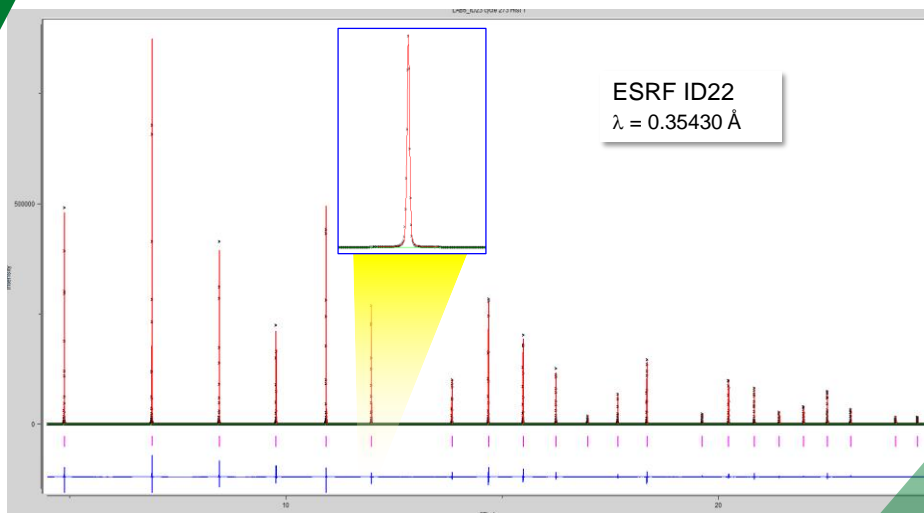
Principally due to instrumental effects (optical aberrations)



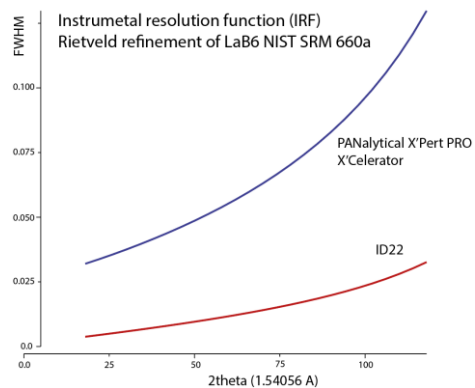
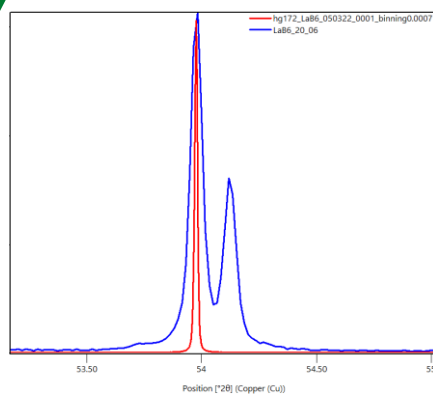
Profile fit of LaB<sub>6</sub>, a profile standard material, free from size and strain broadening. The peak width depends only on instrumental factors



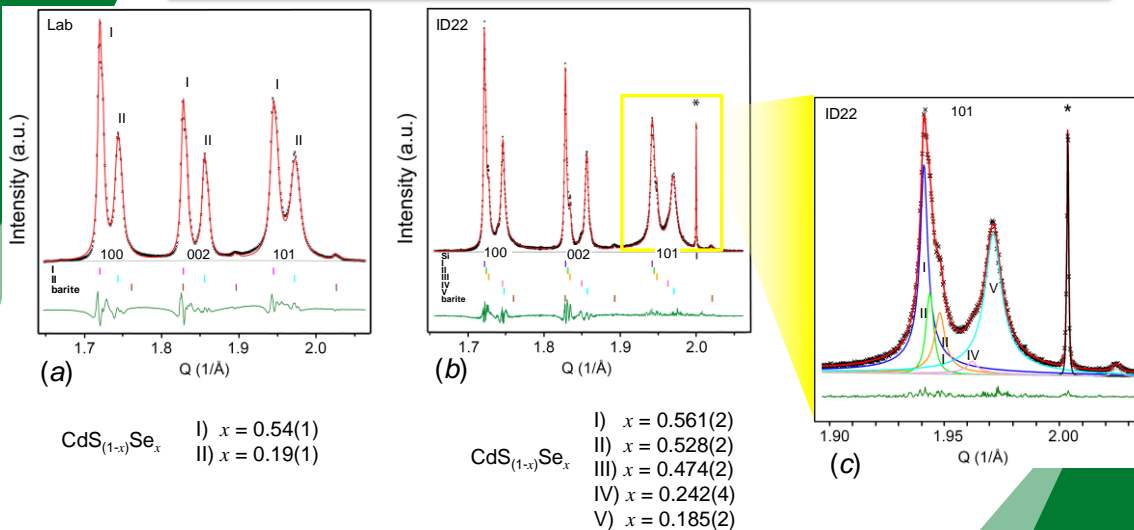
Profile fit of  $\text{LaB}_6$ , a profile standard material, free from size and strain broadening. The peak width depends only on instrumental factors



Comparison of the instrumental resolution functions (IRF) of a Lab diffractometer and ESRF ID22 beamline



Comparison between XRD patterns of a CdS<sub>(1-x)</sub>Se<sub>x</sub> sample taken with a lab diffractometer (a) and ESRF-ID22 (b and c)



Lorentzian FWHM

$$\gamma = \frac{X}{\cos \vartheta} + Y \tan \vartheta$$

Principally due to sample microstructure

given: size (Scherrer)  $\beta = \frac{K\lambda}{S \cos \theta}$

and Strain (Stokes and Wilson)  $\beta = 4\varepsilon \tan \theta$

and setting:  $X = \frac{K\lambda}{S}$  and  $Y = 4\varepsilon$

we obtain:

$$\gamma = \frac{X}{\cos \vartheta} + Y \tan \vartheta = \beta_{size} + \beta_{strain}$$

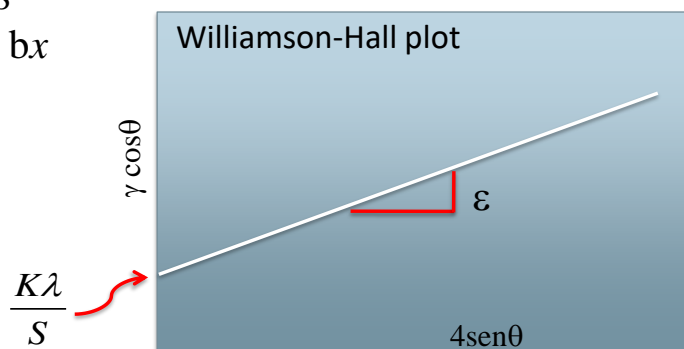
### Total Width

$$\beta_{\text{Tot}} = \text{integral breadth} = \beta_{\text{instr}} + \beta_{\text{sample}} = \Gamma + \gamma$$

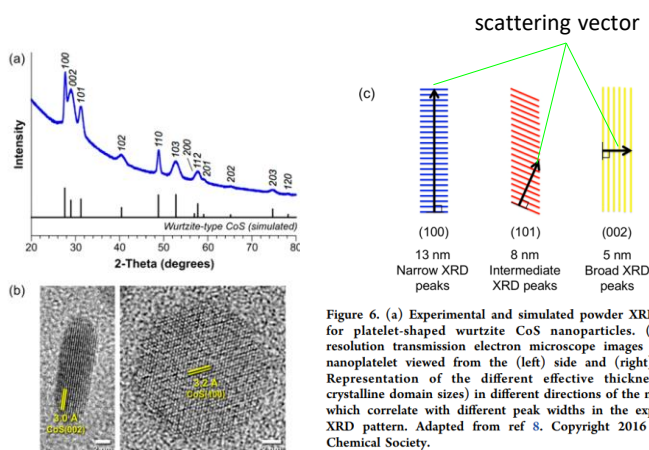
$$\beta_{\text{sample}} = \beta_{\text{size}} + \beta_{\text{strain}} = \gamma = \frac{K\lambda}{S \cos \vartheta} + 4\varepsilon \tan \vartheta$$

$$\gamma \cos \vartheta = \frac{K\lambda}{S} + 4\varepsilon \cdot \sin \vartheta$$

$$y = a + bx$$



### Anisotropic Broadening



## Anisotropic Broadening

To take into account the anisotropic broadening there are different approaches. One simple method introduces two further parameters in the profile function, that are modulated by the angle  $\phi$  between the scattering vector of the hkl reflection and the vector that defines the direction of the anisotropic broadening:

$$\text{from: } \gamma = \frac{X}{\cos \vartheta} + Y \tan \vartheta$$

$$\text{to: } \gamma = \frac{X + X_e \cos \phi}{\cos \vartheta} + (Y + Y_e \cos \phi) \tan \vartheta$$

## Indexing of an XRPD pattern

The determination of unit cell parameters from the positions of diffraction peaks is called indexing procedure, because the problem is to assign the proper Miller indices to each reflection. The procedure is not trivial because the problem does not have a unique solution. Try-and-error computational procedures are often used, however different programs use different strategies. For this reason the use of various programs is recommended (ex. Treor, Dicvol, Ito, McMaille, etc.), and the obtained solutions should be evaluated using statistical parameters.

Possible interplanar distances in a unit cell:

$$Q = (1/d_{hkl})^2 = h^2 a^{*2} + k^2 b^{*2} + l^2 c^{*2} + 2kl b^* c^* \cos \alpha^* + 2hl a^* c^* \cos \beta^* + 2hka^* b^* \cos \gamma^*$$

where:

$$a^* = \frac{b \times c}{V}; \quad \cos \alpha^* = \frac{\cos \beta \cdot \cos \gamma - \cos \alpha}{\sin \beta \cdot \sin \gamma}$$

$$b^* = \frac{c \times a}{V}; \quad \cos \beta^* = \frac{\cos \alpha \cdot \cos \gamma - \cos \beta}{\sin \alpha \cdot \sin \gamma}$$

$$c^* = \frac{a \times b}{V}; \quad \cos \gamma^* = \frac{\cos \alpha \cdot \cos \beta - \cos \gamma}{\sin \alpha \cdot \sin \beta}$$

### REDUCED FORMULAS

#### **Cubic:**

$$1/d^2 = (h^2 + k^2 + l^2)/a^2$$

#### **Tetragonal:**

$$1/d^2 = \{(h^2 + k^2)/a^2\} + (l^2/c^2)$$

#### **Orthorhombic:**

$$1/d^2 = (h^2/a^2) + (k^2/b^2) + (l^2/c^2)$$

#### **Hexagonal:**

$$1/d^2 = (4/3)\{(h^2 + hk + k^2)/a^2\} + (l^2/c^2)$$

#### **Monoclinic:**

$$1/d^2 = (1/\sin^2 \beta)\{(h^2/a^2) + (k^2 \sin^2 \beta/b^2) + (l^2/c^2) - (2hlc \cos \beta/ac)\}$$

## Indexing of an XRPD pattern

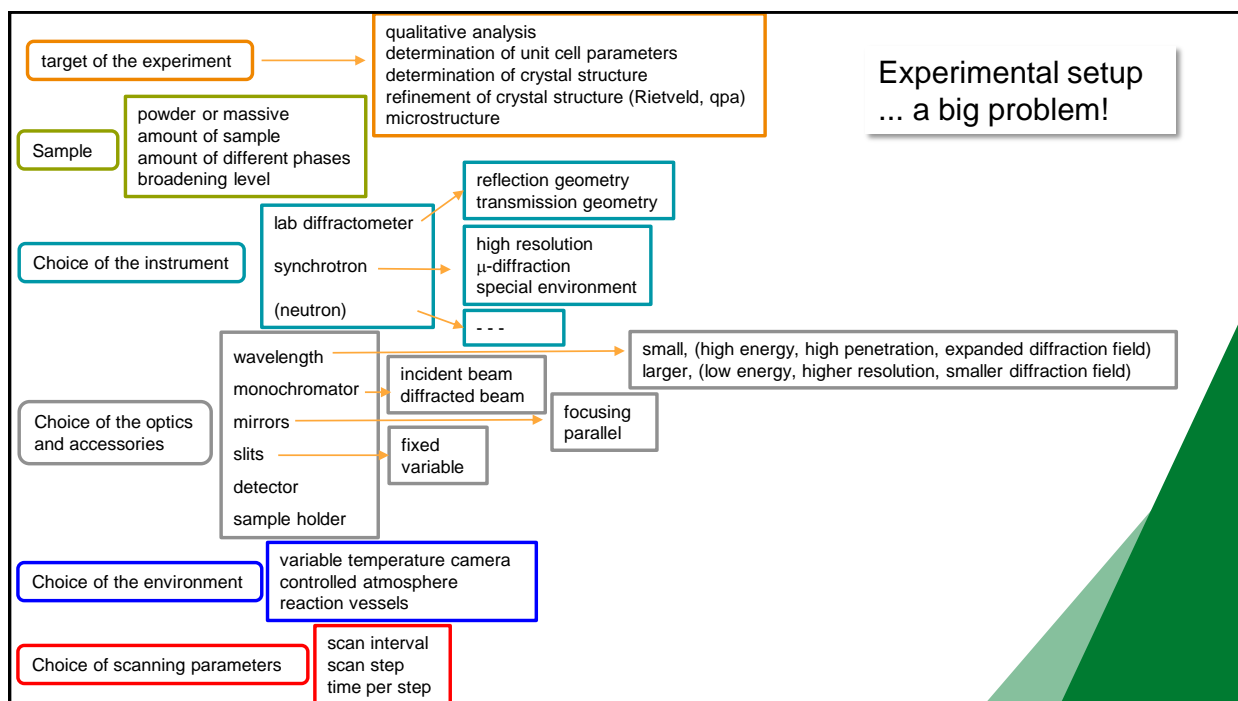
$$Q = (1/d_{hkl})^2 = h^2a^{*2} + k^2b^{*2} + l^2c^{*2} + 2kl b^*c^*\cos\alpha^* + 2hl a^*c^*\cos\beta^* + 2hka^*b^*\cos\gamma^*$$

Some figures of merit can estimate the statistical relevance of the solution.  
For example the  $M(20)$  by De Wolff: when  $M(20) > 20$  and all the first 20 reflections are indexed, it is strongly probable that the solution is correct.

De Wolff figure of merit:  $M_{20} = Q_{20}/(2N_{20}|\Delta Q|_{avg})$

- $Q_{20}$  is the  $Q$  value of the 20<sup>th</sup> peak observed & indexed
- $N_{20}$  is the number of theoretical reflections with  $Q < Q_{20}$
- $|\Delta Q|_{avg}$  is the average of the differences between each  $Q_{obs}$  and the nearest  $Q_{calc}$ .

$M_{20} > 20$  is typically a good indicator for a correct indexing



## USEFUL FREE SOFTWARE (for academics)

### Crystal Structure visualization

VESTA (<https://jp-minerals.org/vesta/en/>)  
 Ortep3 - <https://www.chem.gla.ac.uk/~louis/software/ortep/index.html>  
 Avogadro - <https://avogadro.cc/>  
 Mercury - <https://www.ccdc.cam.ac.uk/Community/csd-community/freemercury/>  
 Powdercell - [http://mill2.chem.ucl.ac.uk/ccp/web-mirrors/powdcell/a\\_v/v\\_1/powder/e\\_cell.html](http://mill2.chem.ucl.ac.uk/ccp/web-mirrors/powdcell/a_v/v_1/powder/e_cell.html)

### Powder diffraction data handling

Kalvados - <https://www.fzu.cz/~knizek/kalvados/download.html>  
 ATEX - <http://www.atex-software.eu/>  
 FullProf - <https://www.ill.eu/sites/fullprof/index.html>

### Search and match

QualX - <http://www.ba.ic.cnr.it/softwareic/qualx/>  
 Profex-BGMN - <https://www.profex-xrd.org/>

### Peak fitting

Fityk - <https://fityk.nieto.pl/>  
 Kalvados  
 XFIT - Ask (tutorial: <http://ccp14.cryst.bbk.ac.uk/tutorial/xfit-95/xfit.htm>)  
 GSAS - GSAS II - <https://subversion.xray.aps.anl.gov/trac/EXPGUI>  
 EXPO2014 - <http://www.ba.ic.cnr.it/softwareic/expo/>  
 FullProf - <https://www.ill.eu/sites/fullprof/index.html>  
 Profex-BGMN - <https://www.profex-xrd.org/>  
 Powdercell

### Indexing

TREOR90 - NTREOR - ask  
 DICVOL14-Predict - <https://www.icdd.com/predict/>  
 McMAILLE - <http://www.cristal.org/McMaille/>

### Unit cell refinement and space group search

GSAS - GSAS II  
 EXPO2014  
 Chekcell - Celref - <http://ccp14.cryst.bbk.ac.uk/ccp/web-mirrors/lmgp-laugier-bochu/>

### Crystal structure solution

FOX - <https://fox.vincefn.net/>  
 EXPO2014  
 GSAS - GSAS II  
 DASH - from this year!

### Rietveld refinement - Quantitative analysis

Quanto - <http://www.ba.ic.cnr.it/softwareic/>  
 GSAS-GSAS II  
 EXPO  
 MAUD - <http://maud.radiographema.com/>  
 FullProf  
 Profex-BGMN  
 JANA2020 - <http://jana.fzu.cz/>

### Powder data conversion

PowDLL - <http://users.uoi.gr/nkourkou/powdll/>  
 Kalvados  
 Profex-BGMN

# THE END

THANK YOU